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### Attorney- Equities

New York, NY, United States

Job number: 1500013134

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#### Job description

Bank of America's Global Equities Sales and Trading Legal team is looking for an experienced and highly motivated attorney. Working with other lawyers and paralegals, the successful candidate will provide legal advice primarily to Bank of America's institutional Equity businesses in the Americas, but also may be involved in similar activities in other regions globally. Responsible for the analysis of complex legal issues involving new or existing products. Day to day responsibilities include working closely with line of business associates and other support partners, including Compliance, Supervision, Technology, and Operations, often in a trading desk environment.

Coverage areas can include:

U.S. broker-dealer regulation generally with an emphasis on Research Sales, commission management, Investment Adviser Act of 1940, secondary market sales and trading of equities and ETFs including block sales, listed options and other derivatives, contracts, rule implementation, risk management and other governance routines and projects.

\*This position is posted as a more senior position but is flexible to be filled as a lower level position if the posted qualifications are met by a strong lower level applicant.

### Enterprise Job Description:

Responsible for the analysis of complex legal issues and implications on matters of significant impact to GBAM's Equity businesses. Provides advice on new or existing products or services. Coordinates the work of outside legal counsel on related projects. Supervises activities of other legal department staff, including other attorneys, paralegals, and support staff. Individuals in this position typically have eight or more years of experience since admission to the Bar. May manage others.

#### Qualifications

**Required Skills:**• JD from an accredited law school • Admission to a State bar- active and in good standing• A minimum of 4 years experience with large financial services company, and/or law firm performing similar services for such clients, and/or former regulator. • Solid working knowledge of the laws and regulations pertaining to securities transactions, as well as applicable self-regulatory organization requirements• Strong organizational and communication skills, sound business judgment and ability to be an effective team member• Must be able to work independently and interface effectively with clients and support partners• Must be capable of handling multiple tasks amidst pressing deadlines; managing a substantial workload and client expectations, and interacting with associates and management at all levels• Must be able to accommodate deadlines that may extend beyond normal business hours **Desired Skills:**• Dodd-Frank, International Securities, and Investment Advisor Act-related experience a significant plus

**Posting Date:** 03/12/2015

**Location:** US-NY-New York

**Travel:** Yes, 10 % of the Time

**Full / Part-time:** Fulltime

**Hours Per Week:** 40.00

**Shift:** 1st Shift

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