

Prudential Job Openings dated 8-11-15

LAW

Deputy, Chief Legal Officer, Jennison Law - JEN00005

**Legal,
New York, NY**

The Jennison Law Department is looking for an experienced asset management attorney with management experience to work directly with Jennison's Chief Legal Officer and a small team of lawyers that support Jennison's asset management business. The Deputy Chief Legal Officer oversees lawyers who focus on trading and investment related support to Jennisons Equity and Fixed Income business.

<http://jobs.prudential.com/location/New%20York-NY/DeputyChiefLegalOfficerJennisonLaw/JEN00005>

VP, Corporate Counsel - ANN000DA

**Legal
Shelton, CT**

The attorney in this position will be responsible for handling a full range of legal matters that arise in connection with the development, offering and maintenance of annuity products offered by the Prudential Annuity Business. The candidate must have a minimum of 10 years of corporate, law firm, in-house and/or regulatory legal experience involving state insurance laws and regulations, the Investment Company Act of 1940, the Securities Act of 1933 and the Securities Exchange Act of 1934 rules and regulations governing annuities. This experienced attorney will provide counsel and advice to various internal business units as well as to other members of the Law Department. This attorney will draft and negotiate business arrangements in support of the marketing and sale of annuity products.

<http://jobs.prudential.com/location/Shelton-CT/VicePresidentCorporateCounsel/ANN000DA>

VP, Corporate Counsel - FIN000H0

**Legal
Newark, NJ**

The Enterprise Corporate Finance Group of the Law Department seeks an attorney with substantial expertise in handling capital markets and other financing transactions for public company issuers, including public and private offerings, structured financing transactions, and credit agreements. The attorney should also have a background in public company disclosure matters, including drafting and reviewing periodic and other reports under the Securities Exchange Act. The attorney would also be expected to handle other general corporate work, which may include providing support on derivatives transactions and regulation, subsidiary governance matters, and contract negotiation.

<http://jobs.prudential.com/location/Newark-NJ/VPCorporateCounsel/FIN000H0>

VP, Corporate Counsel - ANN000DD
Legal
Shelton, CT

Prudential is looking for a dynamic attorney to fill a high profile opening located in either Shelton, CT or Newark, NJ (with regular travel to Shelton, CT). This attorney will be responsible for handling a full range of legal matters that arise in connection with the distribution of individual life and annuity products issued by Prudential. This attorney will support businesses that are leaders in the industry for individual life and variable annuity sales in various channels: independent broker/dealer, wirehouse, banks and agencies. Among this attorneys responsibilities will be the provision of counsel to and representation of Prudentials Individual Life Insurance business unit and an affiliated broker-dealer that serves as principal underwriter for Prudentials variable annuities and mutual funds offered through variable products. The attorney will provide counsel and advice to various internal business units and management, as well as to other members of the Law Department.

<http://jobs.prudential.com/location/Shelton-CT/VicePresidentCorporateCounsel/ANN000DD>

VP, Corporate Counsel - INS0002Q
Legal
Newark, NJ

The Individual Life Insurance Law Department is seeking an experienced attorney to join its life product team. This team provides legal support to areas responsible for traditional and variable life insurance product development, maintenance and marketing, and underwriting. The team also provides cross-business support on product development to the Prudential Annuities law department. In this regard, the attorney will work closely business clients and the company's Compliance and Risk Management functions.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/INS0002Q>

VP, Corporate Counsel - RET0007W
Retirement Law
Iselin, NJ

Retirement Law is seeking an additional member for the Pension Risk Transfer team who can provide focused, technically sound and pragmatic legal advice in connection with funded and longevity only risk transfer transactions. Generally, the skills required include supporting, from inception to post-closing, complex transactions in a way that works for Prudential from both an operational and regulatory perspective. Experience with mergers and acquisitions, reinsurance transactions, and/or corporate finance, as well as with insurance regulation, would be valuable. The candidate must have an appetite for learning new legal disciplines, and the legal and business talent to deploy new knowledge areas to evolving and innovative transactions with accuracy and efficiency. Quickly gaining working knowledge of ERISA and of insurance regulatory requirements is critical to job success. Equally critical is an ability to constructively engage with plan customers in order to shape a solution that works for them and for Prudential, and to be able to capture the solution in writing.

<http://jobs.prudential.com/location/Iselin-NJ/VicePresidentCorporateCounsel/RET0007W>

VP, Corporate Counsel - GRO00082

Legal

Roseland, NJ

The Group Insurance Law Department is seeking a dynamic attorney to join a highly collaborative and collegial team of lawyers and paralegals that provides legal support to the Group Insurance business unit of Prudential. Primary responsibilities of this position include advising on development of group products (including Life, Variable Life, AD&D, Disability and Critical Illness), agent and broker licensing, claims administration, marketing materials, product administration/operational matters and regulatory inquiries.

<http://jobs.prudential.com/location/Roseland-NJ/VPCorporateCounsel/GRO00082>

Vice President, Corporate Counsel - ANN000G9

Annuities Law

Newark, NJ

The attorney will become a member of the Operations, Individual Life and Annuities team. The attorney will be responsible for handling a full range of legal matters that arise in connection with (i) the issuance, administration, servicing and management of Individual Life Insurance and Annuities products, (ii) support of Centralized Business Services and (iii) corporate, finance and actuarial initiatives in support of the Individual Life Insurance and Annuities businesses. In addition, the attorney will play a key role in providing legal support for the Individual Life Insurance and Annuities businesses resulting from Prudential's designation as a non-bank systemically important institution (SIFI) under the Dodd-Frank Act, including but not limited to drafting and coordinating responses to requests for information from internal partners and federal regulators particularly with respect to the development of Prudential's Resolution Plan and the audit of Individual Life Insurance and Annuities business units.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/ANN000G9>

Vice President, Corporate Counsel - DAT0001T

Legal

Newark, NJ

This position is a unique opportunity that combines a start-up culture with the strength of a 140 year old financial services company. You will be providing counsel and advice to various internal business units, management, and other members of the Law Department on new cross-business, digital and data initiatives aimed at transforming our customer experience and expanding the ways we do business. This includes working very closely with the Prudential Privacy Law Team as well as other legal subject matter experts as part of a legal working group to provide understandable and actionable advice that enables informed decision-making and drives business outcomes and opportunities. In this role, you will need to be able to operate in a fast-paced start-up environment, while also possessing the ability to successfully navigate the complexities of a large organization. You need to be comfortable working in a wide variety of legal disciplines, including insurance, securities, privacy, intellectual property, and corporate law. And you need to have a curiosity and desire to learn about legal areas that you aren't familiar with and to understand the mission, goals, and objectives of our various businesses.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/DAT0001T>

Vice President, Corporate Counsel - PRE000CC

This attorney will fill a newly created position located in the Madison and New York offices, reporting to the Chief Counsel for the Americas, as the principal internal counsel supporting the fund formation and investment management activities of the portfolio management teams based in its U.S. and Latin American offices. In this capacity, the attorney will: serve as lead internal counsel on the structuring, marketing and establishment of new funds and other new real estate investment products, serve as lead internal counsel on day-to-day fund management and governance, provide legal support on the structuring, negotiation and establishment of joint ventures that form part of the funds investment strategies, and provide legal support in relation to the ongoing management and governance of the business unit in relation to the parent company.

<http://jobs.prudential.com/location/Madison-NJ/VicePresidentCorporateCounsel/PRE000CC>

Vice President, Corporate Counsel - RET0009W

**Legal
Newark, NJ**

Retirement Law is seeking an additional attorney to become a member of the Pension Risk Transfer team who can provide focused, technically sound and pragmatic legal support in connection with Prudentials high-visibility funded and longevity-only risk transfer transactions. Generally, the skills required include supporting, from inception to post-closing, complex transactions in a way that works for Prudential from both an operational and regulatory perspective. Experience with mergers and acquisitions, reinsurance transactions, and/or corporate finance, as well as with insurance regulation, would be valuable. The candidate must have an appetite for learning new legal disciplines, and the legal and business talent to deploy new knowledge areas to evolving and innovative transactions with accuracy and efficiency. Quickly gaining working knowledge of ERISA and of insurance regulatory requirements is critical to job success. Equally critical is an ability to constructively engage with plan customers in order to shape a solution that works for them and for Prudential, and to be able to capture the solution in writing. Given the size, velocity, importance, complexity and transactional nature of pension risk transfers, team members need to be able to work under tight deadlines and be available at times for intense work efforts during business hours, evenings, weekends and other non-business days. Team members can also expect to quickly develop strong working relationships with key PRT business leaders, and to regularly interact with some of the top US law firms. When not engaging in transactional work, team members support PRT with developing innovative new products, and with respect to enterprise-wide corporate initiatives.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/RET0009W>

Director, High Tech Investigations Unit - INV000BF

**Legal
Newark, NJ**

We are a Fortune 100 financial services leader with a dynamic and diverse workforce and a strong emphasis on talent management. Our High Tech Investigations Unit, part of the larger Corporate Investigations Division, is seeking an experienced individual for the position of Director, High Tech Investigations Unit. In this capacity, the Director is responsible for conducting end-to-end investigations into incidents of, but not limited to, reported data loss, intellectual property theft, malicious network activity, misuse of company resources, conflicts of interest, violations of the Digital Millennium Copyright Act (DMCA), and violations of Prudentials internal policies and procedures. This position requires the ability to conduct forensic examinations of Microsoft/Apple/Blackberry host and mobile devices, conduct subject

interviews, and write clear and concise findings reports for management and stakeholders. The position will also require the ability to provide forensic audio services, including audio enhancement. The Director will have the opportunity to identify new tools and methods for proactively identifying misconduct involving computer technology, as well as review and update the groups Standard Operations Procedures. When needed, the Director will be required to assist in the collection of electronically stored information (ESI) in support of the firms eDiscovery practices.

<http://jobs.prudential.com/location/Newark-NJ/DirectorHighTechInvestigationsUnit/INV000BF>

Director, Corporate Counsel - INT000AQ

**Legal
Newark, NJ**

Prudential's International Investments law team is seeking an attorney to support Prudential's investment management business that offers investment products and services to retail and institutional clients in Asia, Europe and Latin America. The attorney will also advise the global institutional relations group and multi-asset class solutions team, both of which focus on Prudential's largest global investment clients. The attorney will report to the Chief Legal Officer, International Investments.

<http://jobs.prudential.com/location/Newark-NJ/DirectorCorporateCounsel/INT000AQ>

Director, Government Affairs Policy Department - GOV00004

**Legal
Washington DC**

This position will be part of the External Affairs Policy Development team, which is responsible for identifying and analyzing public policy, legislative and regulatory measures as well as developing and implementing policy recommendations and strategies that support the Companys interests. As an integral member of the team, the candidate will be responsible for a range of issues related to public policy as well as establishing strategic relationships with public policymakers, industry and trade associations and other external thought leaders. Candidate will be responsible for representing the Companys interests in matters of policy and planning, as well as coordinating and executing assigned projects in support of the team. The Policy Development team is headquartered in Newark, NJ and is part of the broader External Affairs department which includes federal, state and international government and regulatory relations. This position is located in the Washington, DC office of External Affairs and reports to, Vice President and Head of Policy Development.

<http://jobs.prudential.com/location/Washington-DC/DirectorGovernmentAffairsPolicyDepartmen/GOV00004>

COMPLIANCE

Chief Compliance Officer - Prudential Retirement - RET0009M

**Compliance
Hartford, CT**

Prudential Retirement is looking for a Chief Compliance Officer. The successful candidate will be responsible for the Retirement Compliance Program and will be expected to lead and continuously develop a seasoned compliance team of over 20 associates. This will include

providing on-going compliance support and advice for the day-to-day retirement plan and investment product business activities as well as active participation in evaluating a wide range of new service and product initiatives. The role has responsibility for compliance monitoring, support of marketing activities, compliance training, and implementing new policies and procedures. The candidate will also be expected to play a leadership role within the broader Retirement and Compliance industry to include participation in industry associations, shaping compliance best practices and educating industry personnel and regulators. The incumbent will play a key management role as a member of the Retirement business units Senior Leadership Team as well as assist Compliance management in Prudential Enterprise-wide initiatives within Prudentials Law, Compliance, Business Ethics and External Affairs (LCBE) organization. The incumbent will also lead efforts to develop the talent, quality and capability of the Compliance staff in their organization.

<http://jobs.prudential.com/location/Hartford-CT/ChiefComplianceOfficerPrudentialRetireme/RET0009M>

VP, Compliance - PRU000IP
Compliance
Shelton, CT

The person occupying this position will support the CCO in designing and implementing a compliance program for registered investment advisers and registered investment companies that is effective in preventing, detecting and mitigating violations of applicable law or Prudential policies. He/she will be responsible for providing ongoing compliance support and advice for the day to day activities of ASTIS as well as new initiatives.

<http://jobs.prudential.com/location/Shelton-CT/VicePresidentCompliance/PRU000IP>

VP, Compliance - QMA - QM 00007
Compliance
Newark, NJ

Quantitative Management Associates (QMA), an SEC-registered investment adviser and a business unit of Prudential Financial, is seeking a senior compliance professional knowledgeable in investment advisory and investment company issues to assist its Chief Compliance Officer in overseeing a full range of compliance matters that arise in connection with our asset management activities. The Equity and Asset Allocation platforms have over \$113 billion in assets under management as of December 31, 2014, with a broad array of strategies and client types including institutional separate accounts, sub-advised mutual funds, offshore commingled and separate client accounts. QMA has a strong commitment to its customers, including mutual fund investors, corporations, public retirement funds, Taft-Hartley plans, sovereign wealth funds, insurance companies, foundations, and endowments. Qualified candidates will be expected to be thoroughly knowledgeable about applicable SEC regulations and associated policies and procedures necessary to support an investment adviser compliance program.

The candidate will play a key management role within the QMA Compliance organization and will report to the Chief Compliance Officer of QMA.

In addition to a role as a member of the QMA Compliance management team, the candidate may participate in or lead projects that strengthen and maintain compliance activities more broadly across the Prudential Compliance organization. The candidate will also partner with the law department on a regular basis to analyze changes to regulatory requirements and proactively manage regulatory developments as they may impact QMA.

<http://jobs.prudential.com/location/Newark-NJ/VPComplianceQMA/QM%2000007>

VP, Compliance - INV000C9
Compliance
Newark, NJ

PIM Central Compliance has a Vice President level position available. The Vice President will play a key management role within PIM Compliance and will work closely with the Asset Management businesses and compliance teams (including Prudential Fixed Income, Prudential Capital Group, Prudential Mortgage Capital Company, Prudential Real Estate Investors, Quantitative Management Associates, and, at times, Jennison Associates and Prudential Investments) regarding the regulatory and compliance requirements associated with a registered investment advisor who must also comply with various investment adviser, investment company, broker-dealer and insurance regulations. In addition to a role as a member of the PIM Central Compliance management team, the candidate may participate in or lead projects that strengthen and maintain compliance activities more broadly across the Prudential Compliance organization.

<http://jobs.prudential.com/location/Newark-NJ/VPCompliance/INV000C9>

Compliance Manager - Political Law - SHA0000F
Compliance
Newark, NJ

The Political Law Compliance team seeks a Manager, Compliance position. This position is primarily responsible for supervising various regulatory filings associated with lobbying, pay-to-play, and other political law-related activity, as well as reviewing employee political activity, including personal political contributions, maintenance of the personal political contribution preclearance system and related processes, and providing compliance advice based on applicable laws, rules and regulations to compliance professionals and senior management throughout the enterprise, as well as the Board of Directors. The position will report to the Director, Political Law Compliance within Shared Services. Subject matter expertise is preferred in some of the following compliance specialties including: pay-to-play, legislative and procurement lobbying, employee personal political contributions and activity, corporate government affairs including Political Action Committees, and gift and entertainment compliance. The successful candidate may have some Investment Advisor and Broker Dealer compliance experience.

<http://jobs.prudential.com/location/Newark-NJ/ComplianceManagerPoliticalLaw/SHA0000F>

Compliance Analytics Manager - COM0005L
Compliance
Newark, NJ

Prudentials Corporate Compliance organization seeks a self-motivated business professional desiring a challenging career opportunity with the Compliance Analytics group. Compliance Analytics focuses on compliance related topics using information throughout the Company to create a flexible, comprehensive reporting process.

This individual will focus on the creation of metrics and on the ongoing reporting of those metrics to management. This position requires interaction with Compliance, business, and systems areas with the objective of optimizing the use of data in the support of ongoing reporting to senior management and key stakeholders. Specifically, the successful candidate will be required to analyze data using best practice methods and design metrics to identify trends and patterns in the data.

<http://jobs.prudential.com/location/Newark-NJ/ComplianceAnalyticsManager/COM0005L>

Compliance Manager - INV000C8
Compliance
Newark, NJ

This position reports to the Vice President of Asset Management Central Compliance, and provides direct, day-to-day compliance support for Central initiatives. Responsibilities can include both broad compliance issues (e.g., Oversight for the department's Business Continuation plan, Records, Privacy, internal management reports, code of ethics, personal securities trading research and coordination, development/project lead for compliance training, evaluation of new regulations, compliance policies and procedures, research on major issues, and more narrowly defined issues such as AML and OFAC oversight, coordination and monitoring for PIM areas). The position will have responsibility for supervising two compliance associates; ensuring backup coverage and oversight for their functions. A portion of this position's workflow will consist of ad hoc research and project management functions, in addition to ongoing managerial functions. This is a challenging position with high exposure, attention to detail and superior project management skills required.

<http://jobs.prudential.com/location/Newark-NJ/ComplianceManager/INV000C8>

