

## **Prudential Job Openings dated 12-10-15**

### **LAW**

#### **VP & Chief Legal Officer, Group Insurance - GRO0008K**

**Legal**

**Roseland, NJ**

Primary Duties and Responsibilities include leading, directing, and having full management accountability for a team of approximately 11 colleagues (lawyers, paralegals and administrative support), with an emphasis on talent development, succession planning, organizational alignment, and performance management in accordance with corporate strategic direction; partnering with the Group Insurance senior leadership team to help execute the business strategy; leading and overseeing legal strategy and regulatory matters for the Group Insurance business, collaborating with senior leaders, other areas of the Prudential law department, and outside counsel to deliver proactive, strategic and timely legal solutions and support; and navigating regulatory change by providing proactive and timely analysis of proposed and existing laws and regulations to senior management.

<http://jobs.prudential.com/location/Roseland-NJ/VPChiefLegalOfficerGroupInsurance/GRO0008K>

#### **VP, Chief Counsel - Individual Life & Annuities - ANN000E2**

**Legal**

**Newark, NJ**

Prudential Financial is looking for a dynamic attorney to fill a high profile opening supporting its Individual Life Insurance and Annuity businesses. This attorney will provide counsel and advice to various internal business units and senior management as well as to other members of the Law Department. The candidate will also have management and development responsibilities for attorneys and non-attorneys. The candidate should have a minimum of 15 years of corporate, law firm, in-house and/or regulatory legal experience involving reinsurance matters, corporate finance transactions, corporate governance matters, life insurance and/or annuity laws, and the regulatory environment (state and federal) affecting life insurance and/or annuity companies. This candidate should also have management and leadership experience. The position will be based in Newark, New Jersey, and occasional travel is expected.

Prudential Financial is looking for a dynamic attorney to fill a high profile opening supporting its Individual Life Insurance and Annuity businesses. This attorney will provide counsel and advice to various internal business units and senior management as well as to other members of the Law Department. The candidate will also have management and development responsibilities for attorneys and non-attorneys. The candidate should have a minimum of 15 years of corporate, law firm, in-house and/or regulatory legal experience involving reinsurance matters, corporate finance transactions, corporate governance matters, life insurance and/or annuity laws, and the regulatory environment (state and federal) affecting life insurance and/or annuity companies. This candidate should also have management and leadership experience. The position will be based in Newark, New Jersey, and occasional travel is expected.

<http://jobs.prudential.com/location/Newark-NJ/VPChiefCounselIndividualLifeAnnuities/ANN000E2>

**VP, Chief Legal Officer, Individual Life Insurance - INS0003D**

**Legal**

**Newark, NJ**

Prudential is seeking a dynamic and experienced attorney to lead the Individual Life Insurance Law Department, and oversee and manage the legal affairs and strategy of the business. In this highly visible role, the Chief Legal Officer will be a member of the Individual Life Insurance senior leadership team, and will engage with senior executives, including the President of the business, key business leaders, regulators and other key stakeholders. The position will be based in Newark, New Jersey. Some primary duties and responsibilities will include: leads, directs and has full management accountability for a team of approximately 30 colleagues (lawyers, paralegals and administrative support), with an emphasis on talent management, succession planning, organizational alignment, and performance management in accordance with corporate strategic direction, leads and oversees legal strategy and regulatory matters for the Individual Life Insurance business and collaborates with senior leaders, other areas of the Prudential law department, and outside counsel to deliver proactive, strategic and timely legal solutions and support.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentChiefLegalOfficerIndividual/INS0003D>

**VP, Corporate Counsel - DAT0001T**

**Legal**

**Newark, NJ**

This position is a unique opportunity that combines a start-up culture with the strength of a 140 year old financial services company. You will be providing counsel and advice to various internal business units, management, and other members of the Law Department on new cross-business, digital and data initiatives aimed at transforming our customer experience and expanding the ways we do business. This includes working very closely with the Prudential Privacy Law Team as well as other legal subject matter experts as part of a legal working group to provide understandable and actionable advice that enables informed decision-making and drives business outcomes and opportunities. In this role, you will need to be able to operate in a fast-paced start-up environment, while also possessing the ability to successfully navigate the complexities of a large organization. You need to be comfortable working in a wide variety of legal disciplines, including insurance, securities, privacy, intellectual property, and corporate law. And you need to have a curiosity and desire to learn about legal areas that you are not familiar with and to understand the mission, goals, and objectives of our various businesses.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/DAT0001T>

**Vice President, Corporate Counsel - JEN00006**

**Legal**

**Newark, NJ**

The Jennison Law Department is looking for an experienced asset management attorney to join a small team of lawyers that supports Jennison's business. Jennison's Law Department provides legal advice across a range of issues affecting Jennison's investment management business and works closely with

investment professionals including, portfolio managers, traders, and analysts, and colleagues across Jennison's compliance, operations, marketing, relationship management and client service, and finance groups. This position will have a focus on, but not necessarily exclusively, coordinating and providing advice with respect to supporting Jennison's domestic equity and fixed income activities in addition to negotiating investment management contracts and related documentation, and other projects as described above.

<http://jobs.prudential.com/location/New%20York-NY/VicePresidentCorporateCounsel/JEN00006>

**Vice President, Corporate Counsel - PMC0000E**

**Legal**

**Newark, NJ**

Prudential Mortgage Capital Company (PMCC) seeks an experienced attorney to support its commercial real estate finance businesses. While PMCC's primary business involves the origination and servicing of commercial and agricultural loans for its affiliated insurance companies, various Agencies (Fannie Mae, Freddie Mac, FHA), and for sale in the capital markets (CMBS), PMCC is increasingly involved in structuring, marketing and establishing investment funds and other investment management arrangements for third party institutional clients.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/PMC0000E>

**VP, Corporate Counsel - PRE000CC**

**Legal**

**Newark, NJ**

This attorney will fill a newly created position located in the Madison and New York offices, reporting to the Chief Counsel for the Americas, as the principal internal counsel supporting the fund formation and investment management activities of the portfolio management teams based in its U.S. and Latin American offices. In this capacity, the attorney will: serve as lead internal counsel on the structuring, marketing and establishment of new funds and other new real estate investment products, serve as lead internal counsel on day-to-day fund management and governance, provide legal support on the structuring, negotiation and establishment of joint ventures that form part of the funds investment strategies, and provide legal support in relation to the ongoing management and governance of the business unit in relation to the parent company.

<http://jobs.prudential.com/location/Madison-NJ/VicePresidentCorporateCounsel/PRE000CC>

**VP, Corporate Counsel - QUA0003Y**

**Legal**

**Newark, NJ**

Quantitative Management Associates LLC (QMA) is looking for an experienced attorney to support its public equity investment management business. Job responsibilities will include: negotiating and drafting investment management agreements; drafting and reviewing investment guidelines; reviewing and assisting in the preparation of institutional marketing materials and responses to requests-for-proposals;

assisting in the preparation of fund documentation; and providing advice with respect to various regulatory and operational issues. This attorney will join a team of three attorneys supporting QMA. Qualifications include at least 7 years of experience with a law firm and/or an investment management organization and significant legal experience in the areas of asset management and securities transactions.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/QUA0003Y>

## **Director, High Tech Investigations Unit - INV000BF**

### **Legal Newark, NJ**

We are a Fortune 100 financial services leader with a dynamic and diverse workforce and a strong emphasis on talent management. Our High Tech Investigations Unit, part of the larger Corporate Investigations Division, is seeking an experienced individual for the position of Director, High Tech Investigations Unit. In this capacity, the Director is responsible for conducting end-to-end investigations into incidents of, but not limited to, reported data loss, intellectual property theft, malicious network activity, misuse of company resources, conflicts of interest, violations of the Digital Millennium Copyright Act (DMCA), and violations of Prudentials internal policies and procedures. This position requires the ability to conduct forensic examinations of Microsoft/Apple/Blackberry host and mobile devices, conduct subject interviews, and write clear and concise findings reports for management and stakeholders. The position will also require the ability to provide forensic audio services, including audio enhancement. The Director will have the opportunity to identify new tools and methods for proactively identifying misconduct involving computer technology, as well as review and update the groups Standard Operations Procedures. When needed, the Director will be required to assist in the collection of electronically stored information (ESI) in support of the firms eDiscovery practices.

<http://jobs.prudential.com/location/Newark-NJ/DirectorHighTechInvestigationsUnit/INV000BF>

## **COMPLIANCE**

### **VP, Business Ethics - GLO000DK Compliance Newark, NJ**

A core value of Prudential is to be worthy of the trust of its customers, employees and shareholders and to further that value maintains an enterprise-wide Business Ethics & Integrity office. We are seeking a senior professional to direct the day-to-day work of this office located in our headquarters in Newark, New Jersey and will report to Prudentials Corporate Chief Ethics Officer, who works in both our Japan and New Jersey offices. The Enterprise Business Ethics Office seeks to positively influence the ethical behavior of our 50,000 employees in our various businesses in the United States and around the world. The Ethics Office is comprised of a corporate center with a staff of 9. This position supervises these professionals. In addition, the Business Ethics & Integrity office also maintains matrix relationships with business unit ethics officers who represent each of Prudentials businesses and corporate functions. The successful candidate

will bring familiarity with current practices in the area of business ethics, as well as complementary related functions including Human Resources, Risk Management, Compliance and Law. This Vice President, together with directly supervised corporate center ethics personnel and business unit ethics officers, will address multiple projects related to the ethics program.

<http://jobs.prudential.com/location/Newark-NJ/VPBusinessEthics/GLO000DK>

**Compliance Manager - RET000AM**

**Compliance  
Hartford, CT**

This position will be part of the Prudential Retirement Compliance team with cross unit responsibility for compliance support within Prudential Retirement. The role has responsibility for, but not limited to, the creation, monitoring, implementation, testing, and training with regard to policies and procedures, as well as compliance risk assessments. The ideal candidate will have experience with regulatory compliance requirements, risk-based audits and strong project management skills. Experience with the retirement services industry, investment adviser compliance, and/or the securities products of insurance companies are preferred.

<http://jobs.prudential.com/location/Hartford-CT/ComplianceManager/RET000AM>

**Compliance Manager - SEC00033**

**Compliance  
Newark, NJ**

The position reports to the Director, Securities Monitoring Unit, and will support the employee trade monitoring function within the Compliance Department. The individual will deal with highly sensitive information for employees throughout the Company, including the most Senior Officers and the Board of Directors, and will be expected to handle issues professionally and use appropriate discretion and judgement.

<http://jobs.prudential.com/location/Newark-NJ/ComplianceManager/SEC00033>

**Compliance Manager - Compliance Testing Unit (CTU) - COM0005Z**

**Compliance  
Newark, NJ**

The Compliance Testing Unit (CTU) is a centralized unit within the Corporate Compliance Department of Prudential Financial, Inc. CTU focuses on regulatory requirements relating to branch office inspections and reviews of policies and procedures and the effectiveness of their implementation for certain of Prudentials regulated entities. These regulated entities include securities broker-dealers as well as registered investment companies and investment advisers. Working with compliance and legal officers, CTU utilizes a risk-based approach to develop and execute these inspections and reviews. CTU reports its findings to compliance and business unit management, and where appropriate, works with the local chief compliance officers and business unit management on potential enhancements to local policies and procedures. The successful candidate will report to a Director within CTU and will be responsible for

assisting in the development, execution and documentation of CTUs inspections and reviews.

<http://jobs.prudential.com/location/Newark-NJ/ManagerComplianceTestingUnitCTU/COM0005Z>

### **Compliance Manager - Field Support & Examinations - FIE0000J**

**Compliance**

**Newark, NJ**

This position reports directly to the Director of the Prudential Advisors Field Support & Examinations Unit. The individual will support the Field Support & Examinations Unit in implementing compliance oversight procedures by assisting on field office inspections and providing compliance support for the Prudential Advisors business, as well as supporting various compliance control and testing activities. Role requires presence in Prudential Advisors home office locations (Newark and Woodbridge, NJ) as needed for key meetings with business partners and Compliance Management

<http://jobs.prudential.com/location/Dresher-PA/ManagerFieldSupportExaminationsCompliance/FIE0000J>

### **Compliance Manager - Adviser Due Diligence - PRU000KV**

**Compliance**

**Newark, NJ**

Prudential Investments (PI) Compliance has two open compliance positions that focus on compliance oversight of investment advisers and sub-advisers (collectively advisers) to products within Prudential's domestic business. The candidate will provide critical support to the Director, Compliance: Regulatory Due Diligence, by conducting on-site and telephonic adviser reviews. He or she will evaluate the effectiveness of an adviser's compliance program. Significant travel is required in this position. Responsibilities include: Performing regulatory due diligence reviews of new and existing investment advisers for Prudential sponsored mutual funds and other products to ensure advisers are meeting regulatory and best practice requirements.

<http://jobs.prudential.com/location/Newark-NJ/ComplianceManagerAdviserDueDiligence/PRU000KV>

### **Compliance Manager- Marketing Material Review - GRO0008R**

**Compliance**

**Roseland, NJ**

The Marketing Material Reviewer will be primarily responsible for compliance functions with regard to the approval of sales and advertising material. The position involves marketing material review, including the review of new product, reference, training, educational materials, digital advertising, and other public communications to ensure compliance with regulatory requirements, internal company policies and procedures; and the preparation of necessary filings with appropriate regulatory agencies.

Responsibilities can include both broad compliance issues (e.g., evaluation of new regulations, research on major issues, and development of Prudential's response to proposed regulations) and more narrowly defined issues. This position will report to a Compliance Director.

<http://jobs.prudential.com/location/Roseland-NJ/ComplianceManagerMarketingMaterialReview/GRO0008R>

**Compliance Manager, Portfolio Surveillance – PRU000L1**  
**Compliance**  
**Newark, NJ**

Prudential Investments Compliance, Portfolio Monitoring and Board Reporting, is responsible for monitoring all portfolios within the Prudential Investments/Advanced Series Trust (PI/AST) mutual fund complex for compliance with approved policies, prospectus and statement of additional information guidelines and restrictions, as well as, applicable Federal and Securities and Exchange Commission (SEC) regulations. The mutual fund complex operates on a manager of managers platform and as such delegates day to day management of each portfolio to affiliated and non-affiliated sub advisers. This position will play a key role within the department and is expected to engage in activities including, but not limited to, communication, advice, oversight, and monitoring of appropriate implementation of corrective actions.

The scope and responsibilities of this role will not be static. As the business needs and compliance objectives change, the responsibilities of the position are expected to evolve in order to meet the changing landscape of departmental deliverables.

<http://jobs.prudential.com/location/Newark-NJ/ComplianceManagerPortfolioSurveillance/PRU000L1>

**Senior Instructional Technologist - GLO000BU**  
**General Compliance**  
**Newark, NJ**

**DUTIES:** Define instructional, learning, or performance objectives. Develop instructional materials and products and assist in the technology-based redesign of courses. Design learning products, including web-based aids or electronic performance support systems. Maintain existing online courses including developing and implementing content and programming changes. Convert existing PowerPoint training content into web ready, interactive training modules or tutorials (Asynchronous or synchronous delivery formats). Develop reporting processes and facilities to ensure notification and tracking needs are met for all compliance training. Provide technical support for learners accessing our online courses, as needed. Design and develop reusable instructional and question templates and department standards and procedures. Maintain content on Compliance Training website. Assess effectiveness and efficiency of instruction according to ease of instructional technology use and student learning, knowledge transfer, and satisfaction. Present and make recommendations regarding course design, technology, and instruction delivery options. Provide analytical support for the design and development of training curricula, learning strategies, educational policies, or courseware standards. Conduct needs assessments and strategic learning assessments to develop the basis for curriculum development or to update curricula. Drive the authoring of web-based courseware from storyboards including graphic support and development.

<http://jobs.prudential.com/location/Newark-NJ/SeniorInstructionalTechnologist/GLO000BU>