

Prudential Job Openings dated 1-8-16

LAW

VP & Chief Legal Officer, Group Insurance - GRO0008K

**Legal
Roseland, NJ**

Primary Duties and Responsibilities include leading, directing, and having full management accountability for a team of approximately 11 colleagues (lawyers, paralegals and administrative support), with an emphasis on talent development, succession planning, organizational alignment, and performance management in accordance with corporate strategic direction; partnering with the Group Insurance senior leadership team to help execute the business strategy; leading and overseeing legal strategy and regulatory matters for the Group Insurance business, collaborating with senior leaders, other areas of the Prudential law department, and outside counsel to deliver proactive, strategic and timely legal solutions and support; and navigating regulatory change by providing proactive and timely analysis of proposed and existing laws and regulations to senior management.

<http://jobs.prudential.com/location/Roseland-NJ/VPChiefLegalOfficerGroupInsurance/GRO0008K>

VP, Chief Legal Officer, Individual Life Insurance - INS0003D

**Legal
Newark, NJ**

Prudential is seeking a dynamic and experienced attorney to lead the Individual Life Insurance Law Department, and oversee and manage the legal affairs and strategy of the business. In this highly visible role, the Chief Legal Officer will be a member of the Individual Life Insurance senior leadership team, and will engage with senior executives, including the President of the business, key business leaders, regulators and other key stakeholders. The position will be based in Newark, New Jersey. Some primary duties and responsibilities will include: leads, directs and has full management accountability for a team of approximately 30 colleagues (lawyers, paralegals and administrative support), with an emphasis on talent management, succession planning, organizational alignment, and performance management in accordance with corporate strategic direction, leads and oversees legal strategy and regulatory matters for the Individual Life Insurance business and collaborates with senior leaders, other areas of the Prudential law department, and outside counsel to deliver proactive, strategic and timely legal solutions and support.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentChiefLegalOfficerIndividual/INS0003D>

VP, Corporate Counsel - JEN00006
Legal
Newark, NJ

The Jennison Law Department is looking for an experienced asset management attorney to join a small team of lawyers that supports Jennison's business. Jennison's Law Department provides legal advice across a range of issues affecting Jennison's investment management business and works closely with investment professionals including, portfolio managers, traders, and analysts, and colleagues across Jennison's compliance, operations, marketing, relationship management and client service, and finance groups. This position will have a focus on, but not necessarily exclusively, coordinating and providing advice with respect to supporting Jennison's domestic equity and fixed income activities in addition to negotiating investment management contracts and related documentation, and other projects as described above.

<http://jobs.prudential.com/location/New%20York-NY/VicePresidentCorporateCounsel/JEN00006>

VP, Corporate Counsel - PMC0000E
Legal
Newark, NJ

Prudential Mortgage Capital Company (PMCC) seeks an experienced attorney to support its commercial real estate finance businesses. While PMCC's primary business involves the origination and servicing of commercial and agricultural loans for its affiliated insurance companies, various Agencies (Fannie Mae, Freddie Mac, FHA), and for sale in the capital markets (CMBS), PMCC is increasingly involved in structuring, marketing and establishing investment funds and other investment management arrangements for third party institutional clients.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/PMC0000E>

VP, Corporate Counsel - QUA0003Y
Legal
Newark, NJ

Quantitative Management Associates LLC (QMA) is looking for an experienced attorney to support its public equity investment management business. Job responsibilities will include: negotiating and drafting investment management agreements; drafting and reviewing investment guidelines; reviewing and assisting in the preparation of institutional marketing materials and responses to requests-for-proposals; assisting in the preparation of fund documentation; and providing advice with respect to various regulatory and operational issues. This attorney will join a team of three attorneys supporting QMA. Qualifications include at least 7 years of experience with a law firm and/or an investment management organization and significant legal experience in the areas of asset management and securities transactions.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/QUA0003Y>

VP, Corporate Counsel - ENT0004K
Legal
Newark, NJ

The Prudential Law Department is seeking an experienced attorney for a rotational or permanent position within Enterprise Regulatory Law, Federal Unit to assist in advising the Company in the preparation of the Recovery Plan required by the Federal Reserve Board as a result of Prudentials designation as a non-bank systemically important financial institution (SIFI) under the Dodd-Frank Act. This position can be a rotational assignment with a commitment of a minimum of two years (or the completion of two Recovery Plans) or a permanent position with the opportunity to work on the Company Resolution Plan or other assignments in the Federal Regulatory Unit. The successful candidate will be the lead attorney for Recovery Planning. This position is a unique opportunity to learn about the company both domestically and internationally and to work directly with colleagues from a diverse set of disciplines and businesses, particularly finance and transactional professionals. As part of the cross-functional Resolution and Recovery Plan team, the attorney will help devise the plan that seeks to describe how the Companys material businesses and operations could recover in the event of material financial distress close to failure. The planning involves understanding and explaining the companys material businesses and operations; as well as its financings and inter-affiliate operations and dependences. Additionally, it must plan for recovery under state and foreign insurance laws as well as federal law and, as applicable, securities laws. The planning must contemplate and mitigate the impact of such recovery on the Companys counterparties and the markets. The project involves not only mastering and presenting factual information under legal constructs, but also devising strategies and advocating the Companys position to regulators.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/ENT0004K>

VP, Corporate Counsel - GRO0008S
Legal
Newark, NJ

The Group Insurance Law Department is seeking a dynamic attorney to join a highly collaborative and collegial team of lawyers and paralegals that provides legal support to the Group Insurance business unit of Prudential. Primary responsibilities of this position include advising on development of group products (including Disability, Accident and Critical Illness), agent and broker licensing, claims administration, marketing materials, product distribution, product administration/operational matters and regulatory inquiries.

<http://jobs.prudential.com/location/Roseland-NJ/VicePresidentCorporateCounsel/GRO0008S>

VP, Corporate Counsel - PUB00000

Legal

Newark, NJ

Prudential is looking for an experienced structured products attorney to support Prudential Fixed Income, the primary public fixed income asset management unit within Prudential Investment Management, Inc., an SEC-registered investment adviser. Job responsibilities will include: serving as the primary attorney responsible for providing advice and support to Prudential Fixed Incomes structured finance group, including the review and negotiation of complex structured bond and loan transactions; drafting and negotiating documents in connection with the structuring and launch of CLOs for which Prudential Fixed Income serves as collateral manager; providing advice and support in connection with Prudential Fixed Incomes ABS, CMBS and bank loan trading and management; addressing issues related to litigation, troubled assets and work-outs; and providing advice regarding various regulatory matters affecting structured products.

This attorney will join a team of seven attorneys supporting Prudential Fixed Income.

<http://jobs.prudential.com/location/Newark-NJ/VicePresidentCorporateCounsel/PUB00000>

Director, High Tech Investigations Unit - INV000BF

Legal

Newark, NJ

We are a Fortune 100 financial services leader with a dynamic and diverse workforce and a strong emphasis on talent management. Our High Tech Investigations Unit, part of the larger Corporate Investigations Division, is seeking an experienced individual for the position of Director, High Tech Investigations Unit. In this capacity, the Director is responsible for conducting end-to-end investigations into incidents of, but not limited to, reported data loss, intellectual property theft, malicious network activity, misuse of company resources, conflicts of interest, violations of the Digital Millennium Copyright Act (DMCA), and violations of Prudentials internal policies and procedures. This position requires the ability to conduct forensic examinations of Microsoft/Apple/Blackberry host and mobile devices, conduct subject interviews, and write clear and concise findings reports for management and stakeholders. The position will also require the ability to provide forensic audio services, including audio enhancement. The Director will have the opportunity to identify new tools and methods for proactively identifying misconduct involving computer technology, as well as review and update the groups Standard Operations Procedures. When needed, the Director will be required to assist in the collection of electronically stored information (ESI) in support of the firms eDiscovery practices.

<http://jobs.prudential.com/location/Newark-NJ/DirectorHighTechInvestigationsUnit/INV000BF>

COMPLIANCE

VP, Business Ethics - GLO000DK Compliance Newark, NJ

A core value of Prudential is to be worthy of the trust of its customers, employees and shareholders and to further that value maintains an enterprise-wide Business Ethics & Integrity office. We are seeking a senior professional to direct the day-to-day work of this office located in our headquarters in Newark, New Jersey and will report to Prudential's Corporate Chief Ethics Officer, who works in both our Japan and New Jersey offices. The Enterprise Business Ethics Office seeks to positively influence the ethical behavior of our 50,000 employees in our various businesses in the United States and around the world. The Ethics Office is comprised of a corporate center with a staff of 9. This position supervises these professionals. In addition, the Business Ethics & Integrity office also maintains matrix relationships with business unit ethics officers who represent each of Prudential's businesses and corporate functions. The successful candidate will bring familiarity with current practices in the area of business ethics, as well as complementary related functions including Human Resources, Risk Management, Compliance and Law. This Vice President, together with directly supervised corporate center ethics personnel and business unit ethics officers, will address multiple projects related to the ethics program.

<http://jobs.prudential.com/location/Newark-NJ/VPBusinessEthics/GLO000DK>

Compliance Manager - RET000AM Compliance Hartford, CT

This position will be part of the Prudential Retirement Compliance team with cross unit responsibility for compliance support within Prudential Retirement. The role has responsibility for, but not limited to, the creation, monitoring, implementation, testing, and training with regard to policies and procedures, as well as compliance risk assessments. The ideal candidate will have experience with regulatory compliance requirements, risk-based audits and strong project management skills. Experience with the retirement services industry, investment adviser compliance, and/or the securities products of insurance companies are preferred.

<http://jobs.prudential.com/location/Hartford-CT/ComplianceManager/RET000AM>

Compliance Manager - Compliance Testing Unit (CTU) - COM0005Z Compliance Newark, NJ

The Compliance Testing Unit (CTU) is a centralized unit within the Corporate Compliance Department of Prudential Financial, Inc. CTU focuses on regulatory requirements relating to branch office inspections and reviews of policies and procedures and the effectiveness

of their implementation for certain of Prudentials regulated entities. These regulated entities include securities broker-dealers as well as registered investment companies and investment advisers. Working with compliance and legal officers, CTU utilizes a risk-based approach to develop and execute these inspections and reviews. CTU reports its findings to compliance and business unit management, and where appropriate, works with the local chief compliance officers and business unit management on potential enhancements to local policies and procedures. The successful candidate will report to a Director within CTU and will be responsible for assisting in the development, execution and documentation of CTUs inspections and reviews.

<http://jobs.prudential.com/location/Newark-NJ/ManagerComplianceTestingUnitCTU/COM0005Z>

Compliance Manager - Field Support & Examinations - FIE0000J
Compliance
Newark, NJ

This position reports directly to the Director of the Prudential Advisors Field Support & Examinations Unit. The individual will support the Field Support & Examinations Unit in implementing compliance oversight procedures by assisting on field office inspections and providing compliance support for the Prudential Advisors business, as well as supporting various compliance control and testing activities. Role requires presence in Prudential Advisors home office locations (Newark and Woodbridge, NJ) as needed for key meetings with business partners and Compliance Management

<http://jobs.prudential.com/location/Dresher-PA/ManagerFieldSupportExaminationsCompliance/FIE0000J>

Compliance Manager - Adviser Due Diligence - PRU000KV
Compliance
Newark, NJ

Prudential Investments (PI) Compliance has two open compliance positions that focus on compliance oversight of investment advisers and sub-advisers (collectively advisers) to products within Prudential's domestic business. The candidate will provide critical support to the Director, Compliance: Regulatory Due Diligence, by conducting on-site and telephonic adviser reviews. He or she will evaluate the effectiveness of an adviser's compliance program. Significant travel is required in this position. Responsibilities include: Performing regulatory due diligence reviews of new and existing investment advisers for Prudential sponsored mutual funds and other products to ensure advisers are meeting regulatory and best practice requirements.

<http://jobs.prudential.com/location/Newark-NJ/ComplianceManagerAdviserDueDiligence/PRU000KV>

Compliance Manager- Marketing Material Review - GRO0008R

**Compliance
Roseland, NJ**

The Marketing Material Reviewer will be primarily responsible for compliance functions with regard to the approval of sales and advertising material. The position involves marketing material review, including the review of new product, reference, training, educational materials, digital advertising, and other public communications to ensure compliance with regulatory requirements, internal company policies and procedures; and the preparation of necessary filings with appropriate regulatory agencies. Responsibilities can include both broad compliance issues (e.g., evaluation of new regulations, research on major issues, and development of Prudential's response to proposed regulations) and more narrowly defined issues. This position will report to a Compliance Director.

<http://jobs.prudential.com/location/Roseland-NJ/ComplianceManagerMarketingMaterialReview/GRO0008R>

Compliance Manager, Portfolio Surveillance – PRU000L1

**Compliance
Newark, NJ**

Prudential Investments Compliance, Portfolio Monitoring and Board Reporting, is responsible for monitoring all portfolios within the Prudential Investments/Advanced Series Trust (PI/AST) mutual fund complex for compliance with approved policies, prospectus and statement of additional information guidelines and restrictions, as well as, applicable Federal and Securities and Exchange Commission (SEC) regulations. The mutual fund complex operates on a manager of managers platform and as such delegates day to day management of each portfolio to affiliated and non-affiliated sub advisers. This position will play a key role within the department and is expected to engage in activities including, but not limited to, communication, advice, oversight, and monitoring of appropriate implementation of corrective actions.

The scope and responsibilities of this role will not be static. As the business needs and compliance objectives change, the responsibilities of the position are expected to evolve in order to meet the changing landscape of departmental deliverables.

<http://jobs.prudential.com/location/Newark-NJ/ComplianceManagerPortfolioSurveillance/PRU000L1>

Senior Instructional Technologist - GLO000BU

**General Compliance
Newark, NJ**

DUTIES: Define instructional, learning, or performance objectives. Develop instructional materials and products and assist in the technology-based redesign of courses. Design learning products, including web-based aids or electronic performance support systems. Maintain existing online courses including developing and implementing content and programming changes. Convert existing PowerPoint training content into web ready, interactive training modules or tutorials (Asynchronous or synchronous delivery formats). Develop reporting processes and facilities to ensure notification and tracking needs are met for all compliance training. Provide technical support for learners accessing our online

courses, as needed. Design and develop reusable instructional and question templates and department standards and procedures. Maintain content on Compliance Training website. Assess effectiveness and efficiency of instruction according to ease of instructional technology use and student learning, knowledge transfer, and satisfaction. Present and make recommendations regarding course design, technology, and instruction delivery options. Provide analytical support for the design and development of training curricula, learning strategies, educational policies, or courseware standards. Conduct needs assessments and strategic learning assessments to develop the basis for curriculum development or to update curricula. Drive the authoring of web-based courseware from storyboards including graphic support and development.

<http://jobs.prudential.com/location/Newark-NJ/SeniorInstructionalTechnologist/GLO000BU>