

**Title: Senior Counsel, PNC Investments**

Requisition Number: 254775BR

Location: Philadelphia

As a Senior Counsel within PNC's Investment organization, you will be based in Philadelphia. PNC Investments (PNCI) is PNC's fast-growing retail investment adviser/broker-dealer offering banking services and insurance products, as well as a suite of managed account programs, to PNC banking customers. As PNCI and its managed account programs grow, PNC's Legal Department is looking for a senior level attorney with significant experience in investment adviser and broker-dealer regulatory matters to join a Philadelphia-based team of attorneys focused on supporting PNCI. This individual will play a key role, with PNC's in-house ERISA experts, in implementing PNCI's recent and forthcoming Department of Labor and SEC rules expanding the areas in which they are expected to adhere to fiduciary standards. They would also devote some portion of their time to providing regulatory counsel to the private fund investment advisers in PNC's Corporate and Institutional Bank. In addition to the PNCI legal team, this individual will have opportunities to work closely with other legal counterparts supporting related lines of business, including PNC's Retail Banking and Asset Management businesses, as well as PNC's mutual fund and separately managed account adviser, PNC Investment Advisers, and PNC's government and regulatory affairs groups.

**Experience**

Prefer seven to ten years of experience with investment advisor and broker-dealer regulatory matters. Demonstrated ability to fulfill designated responsibilities. Prefer a deep understanding of the regulatory process and applicable SEC rules and prior experience with a regulator or another financial institution registrant is desirable. Should demonstrate good judgment, strong legal analysis, and oral and written communication skills.

Active State License is required

For more details and to apply online, go to:

[https://sjobs.brassring.com/1033/ASP/TG/cim\\_jobdetail.asp?partnerid=15783&siteid=5130&AReq=254775BR](https://sjobs.brassring.com/1033/ASP/TG/cim_jobdetail.asp?partnerid=15783&siteid=5130&AReq=254775BR)

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