

## **Prudential Job Openings dated 1-10-17**

### **LAW**

#### **VP, Global Head, High Tech Investigations Unit – HIG0000F**

**Legal**

**Newark, NJ**

This is an operational role with oversight of investigators and multiple long term information security projects, both domestically and abroad. This individual must demonstrate initiative and the ability to manage multiple assignments and deadlines. The candidate should have experience in cyber incident response and significant training and experience in forensics and investigations. Prior law enforcement experience responding to data breaches and conducting both forensic investigations and traditional interviews would be a major plus. The candidate must have the ability to develop and maintain strong working relationships with members of the business units across the Enterprise and to integrate with information security officers in the United States and abroad. The candidate must also partner with local, state and federal law enforcement agencies to file cases and pursue perpetrators on behalf of Prudential. He/she should have a working knowledge of financial products and the financial services industry.

<http://jobs.prudential.com/job-description.php?jobReqNo=HIG0000F>

#### **VP, Corporate Counsel – PUB0000Q**

**Legal**

**Newark, NJ**

Job responsibilities will include: providing day-to-day legal advice and support for Prudential Fixed Incomes private funds; forming private funds; drafting and negotiating investor side letters, service provider agreements and non-disclosure agreements; providing advice regarding U.S. and certain non-U.S. regulatory requirements applicable to private funds and registered investment advisers; assisting with preparing regulatory filings; reviewing marketing materials and responses to requests-for-proposals; negotiating investment management agreements; and providing advice with respect to operations and trading issues. This attorney will join a team of nine attorneys supporting Prudential Fixed Income, including two attorneys who provide support to Prudential Fixed Incomes private funds. This attorney will report to the Head of Private Funds within the Prudential Fixed Income legal team and will regularly collaborate with various functions within Prudential Fixed Income, including operations, marketing, reporting, client onboarding, compliance and portfolio management.

<http://jobs.prudential.com/job-description.php?jobReqNo=PUB0000Q>

#### **VP, Corporate Counsel (Chief Legal Officer – PAD) – ANN000JT**

**Legal**

**Shelton, CT**

Prudential is looking for a dynamic and experienced attorney to fill a high profile opening. This attorney will be the Chief Legal Officer of Prudential Annuities Distributors, a registered FINRA broker-dealer, and responsible for handling a full range of legal matters that arise in connection with the sale and distribution of annuity products issued by Prudential. Among this attorneys responsibilities will be the provision of counsel to and representation of Prudentials Annuities business unit. This position will be based in Shelton, Connecticut.

The attorney will provide counsel and advice to various internal business units and management, as well as to other members of the Law Department.

<http://jobs.prudential.com/job-description.php?jobReqNo=ANN000JT>

**Director, Corporate Counsel – TEC0003Q**

**Law**

**Newark, NJ**

Under a senior attorney's guidance, responsibilities include providing legal and contract services in connection with the acquisition and use of technology and non-technology goods and services.

Responsibilities Include but are not limited to: Drafting and negotiating on a broad range of basic agreements with vendors for the acquisition and use of technology and non-technology goods and services. The focus will be on drafting, negotiating and finalizing agreements for Prudential's procurement groups including basic software license agreements, trial service evaluation agreements, non-disclosure agreements, technology consulting agreements, as well as statements of work and purchase orders under software as a service master agreements, technology consulting agreements, marketing agreements, and other miscellaneous contract documents; Working, under senior lawyer guidance, on portions of more complex agreements such as Software as a Service, Outsourcing (business process and/or information technology); and Company-wide or large scale business unit initiatives that relate to vendor contracting issues (for example, review, analysis and summary of vendor contracting issues associated with Federal Reserve Oversight).

<http://jobs.prudential.com/job-description.php?jobReqNo=TEC0003Q>

**Manager, Reporting and Analytics– LCB0004L**

**Legal**

**Newark, NJ**

This position is responsible for leading the reporting and data metrics effort for the Prudential Law Department's Outside Counsel Management team. The ideal candidate will be someone who is a fast learner, tech-savvy, customer service-focused and detail-oriented. The ideal candidate will be encouraged to bring industry perspective to the role, working closely with the Director, Legal Operations & Outside Counsel Management. Primary job responsibilities include but are not limited to: leading effort to improve overall reporting & metrics program for the Law Department; collaborating with law department colleagues to identify and track data points key to their practice group; partnering with Outside Counsel Diversity Committee to streamline and enhance the process for reporting on diversity initiatives; and acting as main point of contact for Outside Counsel reporting through new analytics tool; helping to develop program to benchmark current law firm billable rates.

<http://jobs.prudential.com/job-description.php?jobReqNo=LCB0004L>

## **COMPLIANCE**

### **VP, Compliance (Deputy COO) – PRU000NG**

#### **Compliance**

**Newark, NJ**

This role supports the CCO in all aspects of compliance strategic initiatives and all compliance matters to ensure that the firm is in compliance with all laws and regulations. An important part of the role will be to generate ideas to enhance the compliance program, eliminate duplications and inefficiencies and increase the effectiveness of the program to ensure that the highest risks are properly identified and mitigated. This position will be located in Newark, NJ.

<http://jobs.prudential.com/job-description.php?jobReqNo=PRU000NG>

### **Director, Compliance – PRU000NV**

#### **Compliance**

**Newark, NJ**

Prudential Investments Compliance, Portfolio Monitoring and Board Reporting, is responsible for monitoring all the funds within the mutual fund complexes for compliance with approved policies, prospectus and statement of additional information guidelines and restrictions, as well as, applicable Federal and Securities and Exchange Commission (“SEC”) regulations. The Funds operate on a manager of managers platform and as such delegate day to day management of each Fund to affiliated and non-affiliated sub advisers. This position is expected to engage in activities including, but not limited to, communication, advice, oversight, and monitoring of appropriate implementation of corrective actions. The Director is expected to be a key leader for eight compliance professionals that are part of the Portfolio Monitoring, Oversight and Board Reporting team that will directly or indirectly report to him/her as well as a source of information and guidance to the 35 members of the CCO’s organization. This role will not only support the Vice President responsible for oversight and reporting but will also support the CCO in many compliance strategic initiatives and compliance matters to ensure that the firm is in compliance with all laws and regulations. An important part of the role will be to generate ideas to enhance the compliance program, eliminate duplications and inefficiencies and increase the effectiveness of the program to ensure that the highest risks are properly identified and mitigated.

<http://jobs.prudential.com/job-description.php?jobReqNo=PRU000NV>

### **Manager, Compliance - Advisor Due Diligence - PRU000OC**

#### **Compliance**

**Newark, NJ**

The due diligence team provides compliance oversight of investment advisers and sub-advisers (collectively “advisers”) to products within Prudential's domestic business. To facilitate this, the due diligence team performs on-site and telephonic adviser regulatory due diligence reviews and evaluates the effectiveness of each adviser's compliance program. Significant travel is required in this position. Key responsibilities include but are not limited to: performing regulatory due diligence reviews of new and existing investment advisers for Prudential sponsored mutual funds and other products to ensure advisers are meeting regulatory and best practice requirements, conducting on-site and telephonic examinations of the compliance programs of advisers, focusing on key compliance and regulatory requirements as well as

regulatory developments and industry trends; and Conducting discussions, during on-site visits or teleconferences, with key representatives from compliance, legal, portfolio management, trading, operations, and systems.

**<http://jobs.prudential.com/job-description.php?jobReqNo=PRU000OC>**