

## **Counsel 4**

### **Main functions of the job:**

Provide substantive legal advice to Wells Fargo Advisors (WFA) in support of its international and cross-border business. Oversee local counsel in identifying and interpreting changes in foreign country laws and regulations and identify specific impacts on WFA's delivery of brokerage services and investment products for non-U.S. clients. Provide legal advice on cross-border account and products issues for WFA, and advise senior management, compliance and risk functions for the international business of WFA.

### **Required Qualifications:**

- 10+ years of experience as a practicing attorney
- Active State Bar membership

### **Desired Qualifications:**

- Extensive knowledge and understanding of research, counsel, and guidance on complex legal questions or inquiries
- Strong attention to detail and accuracy skills
- Excellent verbal, written, and interpersonal communication skills
- Effective organizational, multi-tasking, and prioritizing skills
- Ability to analyze legal issues in substantive law in area of practice and provide effective advice to clients on complex legal matters
- Ability to be flexible and adaptable to changing priorities and deadlines

### **Additional Desired Qualifications:**

- Experience working in or with the legal, compliance or risk functions of a SEC registered broker-dealer and FINRA member firm, including extensive knowledge of SEC/FINRA rules and regulations
- Familiarity with FATCA, CRS and other cross-border regulatory regimes
- Spanish and/or Portuguese proficiency helpful but not required

### **Disclaimer**

All offers for employment with Wells Fargo are contingent upon the candidate having successfully completed a criminal background check. Wells Fargo will consider qualified candidates with criminal histories in a manner consistent with the requirements of applicable local, state and Federal law, including Section 19 of the Federal Deposit Insurance Act.

Relevant military experience is considered for veterans and transitioning service men and women.

Wells Fargo is an Affirmative Action and Equal Opportunity Employer, Minority/Female/Disabled/Veteran/Gender Identity/Sexual Orientation.