

Prudential Job Openings dated 5-10-17

LAW

VP, Corporate Counsel – INS0004I

Legal

Newark, NJ

Prudential is looking for an experienced attorney with retail broker-dealer and investment advisory experience to fill a high profile opening. This attorney will be the Chief Legal Officer of Pruco Securities, Inc., and a registered FINRA broker-dealer and investment advisor. This dynamic individual will occupy a leadership role and will be responsible for handling a full range of legal matters that arise in connection with the operation of the retail broker-dealer firm. Among this attorney's responsibilities will be the provision of counsel to the business' senior management across multiple businesses. Additionally, the successful candidate will provide guidance and management of issues related to Prudential's other broker-dealers. The position will be based in Newark.

<http://jobs.prudential.com/job-description.php?jobReqNo=INS0004I>

VP, Corporate Counsel – MER0001B

Legal

Newark, NJ

The Mergers & Acquisitions group of the Law Department (Newark, NJ) is seeking an attorney with substantial expertise in merger, acquisition and disposition-related matters. The attorney would join a small team that coordinates the delivery of all legal services in connection with strategic acquisitions, dispositions and other corporate development transactions. The attorney would work closely with Prudential's Corporate Development Team as well as with a broad array of business and functional units across Prudential. The candidate would also be expected to have a strong background in M&A and general corporate work.

<http://jobs.prudential.com/job-description.php?jobReqNo=MER0001B>

VP, Corporate Counsel – GRO0009J

Legal

Roseland, NJ

The Group Insurance Law Department is seeking a dynamic attorney to join our leadership team and be part of a highly collaborative and collegial team of lawyers that provides legal support to the Group Insurance business unit of Prudential. In this highly visible executive leadership role, the successful candidate's primary responsibilities include managing attorneys, advising on the development of group products, agent and broker licensing, claims administration, marketing materials, product distribution, product administration/operational matters and regulatory inquiries. The position is based in Roseland, N.J.,

and requires some travel to Dresher, PA. Some qualifications include: a minimum of 8 years experience, group life insurance product knowledge, and strong overall understanding of the insurance business; experience managing attorneys; JD and be admitted to practice law in New Jersey (or obtain within 12 months) or obtain the New Jersey In-House Counsel within 18 months; and working knowledge of ERISA regulation of health and welfare benefit plans is a plus.

<http://jobs.prudential.com/job-description.php?jobReqNo=GRO0009J>

VP, Corporate Counsel – PRI0005X
Legal
San Francisco, CA

We are seeking a lawyer with at least four years of experience in the corporate finance area, preferably with a major law firm or major financial institution. Candidates should possess outstanding transaction management skills and superior interpersonal, written and oral communication skills. The ability to work effectively with demanding PCG clients, as well as corporate borrowers, outside counsel and other institutional investors, is critical. Candidates should also have substantial experience in drafting and negotiating financing agreements, credit support documents and legal opinions. Familiarity or prior experience with the federal securities laws is also important. Examples of relevant corporate finance experience include representation of banks or other financial institutions in loan transactions, representation of issuers or underwriters in connection with public offerings of debt and/or equity securities or representation of purchasers of private offerings of debt and equity securities. Candidates with a sophisticated institutional lending or securities background are encouraged to apply.

<http://jobs.prudential.com/job-description.php?jobReqNo=PRI0005X>

VP, Corporate Counsel - Investment Advisor – US 0001R
Legal
Newark, NJ

We are seeking a talented, business savvy and experienced lawyer to join a team within the Prudential Law Department dedicated to supporting digital, data analytics, and cross-business initiatives that are aimed at transforming the customer experience across Prudential's U.S. businesses. This position will provide counsel and advice to Prudential's U.S. Customer Office in the development of new business opportunities and engagement models in the investment advisor space. The U.S. Customer Office combines a start-up culture with the strength of a 140 year old financial services company. To succeed, you will need to be able to operate in a fast-paced start-up environment, while also possessing the ability to successfully navigate the complexities of a large organization. You can expect to practice in an environment that provides significant intellectual challenge and meaningful development opportunities.

<http://jobs.prudential.com/job-description.php?jobReqNo=US 0001R>

VP, Corporate Counsel (Chief Legal Officer – PAD) – ANN000JT

**Legal
Shelton, CT**

Prudential is looking for a dynamic and experienced attorney to fill a high profile opening. This attorney will be the Chief Legal Officer of Prudential Annuities Distributors, a registered FINRA broker-dealer, and responsible for handling a full range of legal matters that arise in connection with the sale and distribution of annuity products issued by Prudential. Among this attorneys responsibilities will be the provision of counsel to and representation of Prudentials Annuities business unit. This position will be based in Shelton, Connecticut.

The attorney will provide counsel and advice to various internal business units and management, as well as to other members of the Law Department.

<http://jobs.prudential.com/job-description.php?jobReqNo=ANN000JT>

Director, Corporate Counsel – INS0004M

**Legal
Newark, NJ**

The Individual Life Insurance division of the Prudential Law Department is seeking an attorney to join our reinsurance team. This attorney will provide legal support to the Prudential businesses as part of the reinsurance team within the Individual Life Insurance legal group, regarding all aspects of life reinsurance with unaffiliated third parties, as well as capital management using captive reinsurance companies, financing of reserves with the capital markets, corporate governance, and communications with regulatory authorities and industry groups. The position will provide reinsurance legal support for various departments, including Product Development, Reinsurance, Corporate Finance, Government Affairs, Enterprise Risk Management and Corporate Secretary, and may participate in new product development projects. In addition, the position will provide legal support regarding reinsurance transactions to other Prudential businesses, such as Annuities, Retirement and Group Insurance. The candidate should have a minimum of 3-5 years of relevant law firm or insurance, reinsurance or other financial services company law department experience.

<http://jobs.prudential.com/job-description.php?jobReqNo=INS0004M>

Director, Corporate Counsel – SHA0000H

**Legal
Newark, NJ**

The Director & Corporate Counsel's responsibility will include: receive and handle requests from CRE clients to draft, negotiate and prepare execution copies of all commercial real estate documents, including new leases, subleases, lease amendments, lease terminations and license agreements; receive, review and analyze commercial real estate documents produced by Landlords; after meeting with CRE client and Prudential's national broker, draft and prepare redlined revised drafts of document for submission to Landlord; receive requests from Facilities Group to review or draft non-technology facilities and goods and services agreements; review tenant estoppel certificates and subordination, non-disturbance and attornment agreements; and assist the litigation group with respect to any real estate related litigation.

<http://jobs.prudential.com/job-description.php?jobReqNo=SHA0000H>

Director, Corporate Governance – SEC0003L
Legal
Newark, NJ

Prudential's Corporate Governance organization supports the Board of Directors and Committees for Prudential, and is responsible for an array of legal and regulatory matters pertaining to its Directors and Officers. The Director, Corporate Governance, is part of a high functioning Board Governance team that is responsible for varied aspects of corporate governance and the administration of Board related matters. This position will report to the Vice President, Corporate Governance, and will work closely with the Chief Governance Officer. Primary responsibilities include but are not limited to: prepare and ensure accuracy of materials, including agendas, speaker comments, draft resolutions, memorandums to the Board, Senior Management and internal partners, Director and Officer questionnaires, conflict check memorandums, minute book contents, skeletal minutes, portal materials, internal communications and other items relating to the meetings of the Board and Committees; and Proofreading and reviewing regulatory and compliance related materials, and preparing and reviewing written communications to the Board and senior management.

<http://jobs.prudential.com/job-description.php?jobReqNo=SEC0003L>

Manager, High Tech Investigations – HIG0000G
Legal
Newark, NJ

This position requires the ability to: conduct forensic examinations of Microsoft/Apple/Linux hosts, Android/iPhone/Blackberry mobile devices, network and security devices and associated log files, conduct subject interviews, and write clear and concise findings reports for management and stakeholders. The manager provides specialized support by gathering, handling, examining, preparing, entering, searching, retrieving, identifying and/or comparing digital and/or physical evidence. The manager uses forensically sound procedures to determine results. The manager observes proper evidence custody and control procedures, documents procedures and findings and prepares comprehensive written notes and reports.

<http://jobs.prudential.com/job-description.php?jobReqNo=HIG0000G>

COMPLIANCE

Compliance Director – IND000BT
Compliance
Newark, NJ

This position will serve as Pruco Compliance's, Investment Advisory Subject Matter Expert (SME) for Prudential Advisors IA Compliance Unit. The incumbent will work closely with leadership at multiple levels to provide compliance support and oversight of all aspects of Compliance Risk Assessment of the Investment Advisory business, including testing and tracking of regulatory compliance routines. The

advisory support will include product development, ADV updates and filings as well as periodic review of policies and standard operating procedures. This position is a highly collaborative role that will partnering with the VP, Compliance Director for Prudential Advisors retail sales force and his staff together with other Compliance associates across Legal, Compliance, and Business Ethics (LCBE). It will be responsible for ongoing maintenance of Pruco's IA Compliance manual as well as assisting the business in creating IA Sales Practice and Practice Management training for our IARs and their supervisors.

<http://jobs.prudential.com/job-description.php?jobReqNo=IND000BT>