

Regulatory Exam Management Executive

Bank of America is seeking a Regulatory Engagement & Exam Management Executive to join our team. The Executive will have primary responsibility for:

- Developing and managing strong relationships with our key regulators
- Developing and managing strong relationships with the FLUs/CFs, partnering effectively with them on regulatory matters and issues and ensuring they are prepared for regulatory engagements.
- Managing and directing all aspects of regulatory exams to ensure consistent exam practices, successful execution, and reduction of risk exposure for the company. This includes being responsive to regulatory inquiries, effectively managing ongoing supervision meetings; aiding with preparation of regulatory responses; as well as regulatory activity tracking, reporting and escalation.
- Continuously assessing and enhancing the client coverage model, improving the quality and execution of exams, improving the quality of our regulatory experiences, and identifying new tools and approaches to improve efficiencies.
- Providing leadership and guidance to FLU/CFs on exams, inquiries and other regulatory matters.
- Identifying and assessing emerging regulatory issues, themes and trends based on their research of various information gathering methodologies and sources and through regulatory engagements and exam activities.
- Engaging with various industry and advocacy groups focus on regulatory events, policies, etc.
- Providing leadership direction, attracting, assessing, developing, motivating a team, as well as ensuring a positive team culture.

If based in DC, this role will require travel to NYC once or twice a month

Requirements & Competencies:

- 15-20 years relevant experience managing Regulatory and/or Counterparty relationships; Exam Management & interacting with Regulators
- For the Domestic Client Coverage Lead (Securities Regulators) - A Legal background is preferred
- Deep understanding of financial regulatory agencies and regulatory oversight
- For Client Coverage Team (Securities), deep understanding of the key securities regulations required
- Broker dealer or investment advisory experience
- Knowledge of the company's businesses and products
- Ability to lead/manage a team, cultivate a positive team culture, and ensure the team works collaboratively with people across the enterprise
- Strong interpersonal skills and proven ability to build and cultivate relationships both externally and internally
- Outstanding verbal and written communication skills
- Very strong presentation skills: ability to present key messages, recommendations and information in a clear, concise and insightful way and synthesize information key to relevant points
- Ability to influence effectively at all levels within the organization
- Ability to analyze and present regulatory data and information
- Strategic thinker comfortable with the senior management level
- Ability to think "outside of the box"