

Prudential Job Openings dated 4-10-18

LAW

VP, Corporate Counsel – PGI0005B

Legal

Madison NJ (or NY, NY or San Francisco, CA)

PGIM Real Estate Law has an exciting opportunity to work in a dynamic global investment management business. PGIM Real Estate Law is seeking a Vice President, Corporate Counsel to join the Americas legal team supporting the PGIM Real Estate business in the U.S. and Latin America with responsibility for fund formation and investment management as well as special projects. This individual will report to the Chief Counsel, Americas. The position may be based in PGIM Real Estate's headquarters in Madison, NJ, New York, NY or San Francisco, CA. If an individual is based in New York, NY, they must spend a substantive amount of time in Madison, NJ. Some key responsibilities include: providing advice on legal and regulatory issues related to the structuring, marketing and launching new real estate investment funds and other products; supporting product development activities, including the structuring of investments by non-US investors in funds and other investment vehicles; and supporting portfolio management teams with ongoing fund management issues.

<http://jobs.prudential.com/job-description.php?jobReqNo=PGI0005B>

VP, Corporate Counsel – INS0004Q

Legal

Newark NJ (or Hartford/Shelton, CT)

The Individual Life Insurance Law Department is seeking an experienced attorney to join its life product team. This team provides legal support to areas responsible for traditional and variable life insurance product development, maintenance and marketing, and underwriting. The team also provides cross-business support on product development to the Prudential Annuities Law Department. The position may be located in Newark, NJ, Shelton, CT or Hartford, CT. Job responsibilities will include but are not limited to: providing legal advice in connection with all Individual Life Insurance new business initiatives; working closely with the business within a team environment from product concept to product launch; providing counsel to the Individual Life Insurance Marketing team; assist the business on all matters related to innovative marketing concepts, including the development of advertising, sales and producer training materials; interfacing regularly with Individual Life Insurance's Compliance and Risk Management functions; and seek to collaborate with these departments in assessing risks.

<http://jobs.prudential.com/job-description.php?jobReqNo=INS0004Q>

VP, Corporate Counsel – Annuities – ANN000NL

Legal

Newark, NJ

We are seeking an experienced attorney to join the Annuities Law Department. This position will provide counsel and support to Prudential's Annuities business on variable and fixed annuity product development, maintenance and marketing. This position also will have the opportunity to provide cross-business legal support on product development for the Individual Life Insurance Law Department, and work closely with other US business and corporate legal groups on a variety of strategic and cross-business initiatives. The position may be located in Shelton, CT, Hartford, CT, or Newark, NJ.

<http://jobs.prudential.com/job-description.php?jobReqNo=ANN000NL>

VP, Corporate Counsel – ERISA/Benefits – BEN0000G

Legal

Newark, NJ

We are seeking an attorney in the ERISA/Benefits Law Department with (i) private funds and institutional experience to provide legal advice to Prudential's institutional businesses and benefit plans on a broad range of ERISA Title I and Internal Revenue Code (the "Code") issues, and (ii) experience in handling executive compensation matters, including issues relating to Section 409A of the Code. Some of the responsibilities for this position include: representing the Company's benefit plan fiduciaries on plan investment issues including advising on ERISA issues in connection with alternative investments (e.g., hedge funds and private equity funds) and reviewing and negotiating investment documentation (e.g., adoption agreements, group annuity contracts, etc.); and representing the Company's institutional asset management businesses by providing advice on the application of prohibited transaction exemptions and reviewing and negotiating ERISA provisions in investment management agreements, adoption agreements, leases, derivative agreements, note purchase agreements, etc.

<http://jobs.prudential.com/job-description.php?jobReqNo=BEN0000G>

VP, Corporate Counsel – Investment Advisor – US 00029

Legal

Washington, DC

We are seeking a talented, business savvy and experienced lawyer to join Prudential's Law Department. This position will provide counsel and advice to Prudential's U.S. Customer Office and other businesses in the development of new business opportunities and engagement models in the retail investment advisor, insurance, and annuities spaces. You will work closely and collaboratively with other U.S. Business and Corporate legal teams, and will need to partner with them to provide focused, technically sound and pragmatic legal support relating to highly visible and strategically important work for Prudential. You need to have significant firm or in-house legal experience with the rules and regulations governing investment advisors and investment companies and a strong understanding of the financial technology space. Knowledge with the rules and regulations governing broker-dealers is preferable but not required.

http://jobs.prudential.com/job-description.php?jobReqNo=US_00029

Director, Corporate Counsel – PGI0005P

Legal

Madison, NJ

PGIM Real Estate Law has an exciting opportunity to work in a dynamic global investment management business. PGIM Real Estate Law is seeking a Director, Corporate Counsel to join the Americas legal team supporting the PGIM Real Estate business in the U.S with broad responsibility for real estate transactional and asset management matters as well as special projects. This individual will report to the Chief Counsel, Americas. Key responsibilities include, but not limited to: providing advice on a broad range of real estate matters including sales, acquisitions, financings, leasing, property management and development; providing advice related to joint ventures, REIT structuring and compliance and other investment transactions; and providing advice in connection with the negotiation of investment management agreements.

<http://jobs.prudential.com/job-description.php?jobReqNo=PGI0005P>

Director, Corporate Counsel – DIS000AE

Legal

Newark, NJ

The Law Department at Prudential provides legal advice and services to all company business groups and corporate functions. We are adding an attorney with retail broker-dealer, securities and insurance experience to work on a wide range of matters including legal issues impacting investment management, retirement, group insurance, annuities and life insurance distribution and regulatory issues. This position reports to the Chief Legal Officer of Prudential Investment Management LLC, and Prudential Annuity Distributors, Inc., each a registered FINRA broker-dealer. Additional responsibilities will be the provision of counsel to management across multiple businesses.

<http://jobs.prudential.com/job-description.php?jobReqNo=DIS000AE>

Manager, Insider Threat – HIG0000J

Legal

Newark, NJ

This is an exciting time to join a growing global team, High Tech Investigations, responsible for cyber investigations in the U.S., Asia, Europe and South America. Reporting to the Director of Incident Response and Investigations, The Manager of Insider Threat Investigations is responsible for the development, implementation, and technical leadership of the Unit's insider threat investigations. This position will oversee the global implementation of user behavior analytics and work with information security leaders, human resources, compliance and business partners on responding on identifying insider risk areas and investigations. In this role, you will have the opportunity to identify new tools and methods for proactively identifying misconduct involving computer technology. While the primary work location is the company's Newark, New Jersey office, this role may be asked to participate in a variety of industry working groups and task force operations within the New York tri-state area.

<http://jobs.prudential.com/job-description.php?jobReqNo=HIG0000J>

COMPLIANCE

VP, RIA Compliance – CUS0008G
Compliance
Newark, NJ

This role requires a cross-functional view into Prudential's business units. The right person will have broad knowledge in RIA, Broker Dealer, and/or Insurance regulatory frameworks and business operations, a good mix of understanding the various business models used to manufacture, distribute and service financial service products and experience in leveraging technology to optimize compliance related controls and activities. An important part of the role will be to generate ideas to enhance the compliance program, eliminate duplications and inefficiencies and increase the effectiveness of the program to ensure that the highest risks are properly identified and mitigated. This individual will be part of helping to shape, direct and execute business vision and be challenged to blend customer-centric principles with industry-changing innovation and regulatory complexity. This role works directly for Prudential's Vice President of Corporate Compliance, who also functions as CCO for another of Prudential's business units, the Customer Office. You will get to work in an exciting, engaging and agile environment where you will be encouraged to expand your skills, test your creative boundaries, share new ideas, and, most importantly, have fun.

<http://jobs.prudential.com/job-description.php?jobReqNo=CUS0008G>

Director, Compliance (Securities Monitoring) – COM00076
Compliance
Newark, NJ

Reporting to the Corporate Compliance Director, the Securities Monitoring Director, will support leadership in taking the Securities Monitoring Unit to the next level and enhance and build a strategy that aligns and shares information across compliance and business units. You will help to identify new technology(s) to mitigate the overall risk in this space. You will deal with highly sensitive information for employees throughout the Company, including the most senior Officers and the Board of Directors. The ideal candidate should have prior leadership experience, a superior level of knowledge and experience with the regulatory and compliance issues surrounding personal and portfolio trading activity, and system implementation and project management experience.

<http://jobs.prudential.com/job-description.php?jobReqNo=COM00076>

Compliance Manager – Prudential Advisors – PRU000RA

Compliance

Newark, NJ

The Manager will provide day-to-day compliance advice and monitoring of the Prudential Advisors services centers. Including the department that conducts middle office functions for brokerage accounts maintained at the firm's designated clearing firm and departments that provide operational support for insurance distribution. The successful candidate will also act as the department's the subject matter expert (SME) for regulations that impact Broker-Dealer (BD) operations such as books & records, statements and confirmations. The role is based in Prudential Advisor's primary home office location (Newark NJ) with weekly presence in Woodbridge NJ, as well as needed trips to other Prudential locations for meetings with key business and compliance partners.

<http://jobs.prudential.com/job-description.php?jobReqNo=PRU000RA>