

Prudential Job Openings dated 6-12-18

LAW

VP, Corporate Counsel – PGI00063

Legal

Newark NJ

Reporting to the Vice President and Chief Legal Officer of U.S. Businesses, the Chief Legal Officer of PGIM leads a high performing team of more 120 associates (including more than 80 attorneys) located in offices around the world, including locations in the United States, Mexico, United Kingdom, Germany, Luxemburg, Japan and Singapore. Working closely with PGIM's senior management, s/he will be a key member of the PGIM's leadership team. As such, we are looking for both a dynamic people leader, an experienced business partner and a lawyer with strong familiarity with the asset management business. Furthermore, this role has significant growth potential. We are hiring not just for this immediate position, but focusing on potential future leaders for the Prudential Law Department. The Chief Legal Officer of PGIM is also a leader within Prudential's Law, Compliance, Business Ethics and External Affairs (LCBE) organization. S/he will actively participate in a wide range of Law and LCBE leadership meetings and will have the opportunity to provide meaningful input on the development and implementation of broader Law department strategy and priorities.

<http://jobs.prudential.com/job-description.php?jobReqNo=PGI00063>

VP, Corporate Counsel – PGI0005B

Legal

Madison NJ (or NY, NY or San Francisco, CA)

PGIM Real Estate Law has an exciting opportunity to work in a dynamic global investment management business. PGIM Real Estate Law is seeking a Vice President, Corporate Counsel to join the Americas legal team supporting the PGIM Real Estate business in the U.S. and Latin America with responsibility for fund formation and investment management as well as special projects. This individual will report to the Chief Counsel, Americas. The position may be based in PGIM Real Estate's headquarters in Madison, NJ, New York, NY or San Francisco, CA. If an individual is based in New York, NY, they must spend a substantive amount of time in Madison, NJ. Some key responsibilities include: providing advice on legal and regulatory issues related to the structuring, marketing and launching new real estate investment funds and other products; supporting product development activities, including the structuring of investments by non-US investors in funds and other investment vehicles; and supporting portfolio management teams with ongoing fund management issues.

<http://jobs.prudential.com/job-description.php?jobReqNo=PGI0005B>

VP, Corporate Counsel – CYB00002

Legal

Newark NJ

The Prudential Insurance Company of America seeks an experienced cybersecurity and privacy attorney for the Enterprise Regulatory Law unit. The position will report to the Chief Counsel for Cybersecurity and Privacy who reports to the Vice President and Chief Regulatory Office. The Cybersecurity and Privacy attorneys are an integral part of cybersecurity at Prudential and work with other core functions and business units to prepare and respond to incidents. Job Responsibilities include: Leading significant cybersecurity investigations in conjunction with the cybersecurity investigative teams; and providing legal advice to the Chief Information Security Officer, Chief Privacy Officer, cybersecurity operations center and investigations teams, and working with global corporate partners to prepare and respond to cyber incidents.

<http://jobs.prudential.com/job-description.php?jobReqNo=CYB00002>

VP, Corporate Counsel – INS0004Q

Legal

Newark NJ (or Hartford/Shelton, CT)

The Individual Life Insurance Law Department is seeking an experienced attorney to join its life product team. This team provides legal support to areas responsible for traditional and variable life insurance product development, maintenance and marketing, and underwriting. The team also provides cross-business support on product development to the Prudential Annuities Law Department. The position may be located in Newark, NJ, Shelton, CT or Hartford, CT. Job responsibilities will include but are not limited to: providing legal advice in connection with all Individual Life Insurance new business initiatives; working closely with the business within a team environment from product concept to product launch; providing counsel to the Individual Life Insurance Marketing team; assist the business on all matters related to innovative marketing concepts, including the development of advertising, sales and producer training materials; interfacing regularly with Individual Life Insurance's Compliance and Risk Management functions; and seek to collaborate with these departments in assessing risks.

<http://jobs.prudential.com/job-description.php?jobReqNo=INS0004Q>

Director, Corporate Counsel – PGI0005P

Legal

Madison, NJ

PGIM Real Estate Law has an exciting opportunity to work in a dynamic global investment management business. PGIM Real Estate Law is seeking a Director, Corporate Counsel to join the Americas legal team supporting the PGIM Real Estate business in the U.S with broad responsibility for real estate transactional and asset management matters as well as special projects. This individual will report to the Chief Counsel, Americas. Key responsibilities include, but not limited to: providing advice on a broad range of real estate matters including sales, acquisitions, financings, leasing, property management and development; providing advice related to joint ventures, REIT structuring and compliance and other investment

transactions; and providing advice in connection with the negotiation of investment management agreements.

<http://jobs.prudential.com/job-description.php?jobReqNo=PGI0005P>

Director, Corporate Counsel – DIS000AE

Legal

Newark, NJ

The Law Department at Prudential provides legal advice and services to all company business groups and corporate functions. We are adding an attorney with retail broker-dealer, securities and insurance experience to work on a wide range of matters including legal issues impacting investment management, retirement, group insurance, annuities and life insurance distribution and regulatory issues. This position reports to the Chief Legal Officer of Prudential Investment Management LLC, and Prudential Annuity Distributors, Inc., each a registered FINRA broker-dealer. Additional responsibilities will be the provision of counsel to management across multiple businesses.

<http://jobs.prudential.com/job-description.php?jobReqNo=DIS000AE>

Director, Corporate Counsel – PGI0005Z

Legal

Newark, NJ

The successful candidate will primarily support Prudential's Alternative Assets Group ("AAG") in all phases of "buy side" private equity, real estate and hedge fund investment, as well as Prudential's Impact Investments group in debt and equity investments. Responsibilities include: Representation of Prudential in the review of private placement memoranda, conducting due diligence and negotiation of limited partnership agreements; side letters; subscription agreements; and applicable consents and amendments; Representation of Prudential in the review and negotiation of co-investments with private equity managers; Representation of Prudential in the review and negotiation of the transfer of limited partnership interests in connection with affiliated limited partnership interest transfers and secondary transactions; and Representation of Prudential in the review and negotiation of debt and financing agreements with respect to Impact Investments; Collaborate with Prudential attorneys on tax, ERISA, insurance company investment restrictions and other related regulatory matters relevant to private equity and debt investment; collaborate with Prudential Compliance personnel on compliance matters relevant to private equity, real estate and debt investments.

<http://jobs.prudential.com/job-description.php?jobReqNo=PGI0005Z>

Manager, High Tech Investigations – HIG0000L

Legal

Newark, NJ

We are a Fortune 50 financial services leader with a dynamic and diverse workforce and a strong emphasis on talent management. Our High Tech Investigations Unit is a global unit responsible for cyber investigations in the U.S., Asia, Europe and South America. This is an exciting time to join a growing team. The High Tech Investigations Unit is seeking an experienced individual for the position of Manager, High Tech Investigations Unit. The Manager will report to a Director of the High Tech Investigations Unit, who reports to the Global Head of High Tech Investigations, and is part of the law department. In this capacity, the Manager is primarily responsible for the analysis, escalations, and triage of User Behavior Analytics alerts with ancillary investigative and forensic responsibilities where needed.

<http://jobs.prudential.com/job-description.php?jobReqNo=HIG0000L>

Manager, Third Party Risk Management – LCB0005D

Legal

Newark, NJ

Reporting to the Director, Third Party Risk Management, the selected candidate will be responsible for oversight and coordination of the LCBE Third Party Risk Management program. As part of this work, the individual will be tasked with helping to ensure that LCBE remains in compliance with Prudential's Third Party Risk Management standards and policies. Prudential's Third Party Risk Management standards describe required activities that must be adhered to during a vendor's lifecycle, from sourcing to termination. Activities include, but are not limited to: assessing risk, conducting due diligence and site visits, and ensuring contractual service level agreements are met. In addition to oversight of the LCBE Third-Party Risk Management (TPRM) program, the successful candidate will also manage a team of three remote-based associates who are responsible for conducting daily activities such as identifying risk, facilitating the due diligence process, reviewing account payable transactions, and maintenance of required documentation.

<http://jobs.prudential.com/job-description.php?jobReqNo=LCB0005D>

COMPLIANCE

Compliance Director (Marketing Review) – PGI00065

Compliance

Newark, NJ

This position is for a Director reporting to the Deputy Chief Compliance Officer (CCO) of PGIM Investments, a mutual fund company based in Newark, NJ and with operations in London, England. The Director will support the Deputy CCO in executing on Compliance department objectives, with a focus on leading cross-border marketing

review initiatives as the firm develops its global product suite and distribution capabilities. Candidate must have previous relevant experience with cross-border fund and adviser marketing materials, preferably while supporting a registered adviser and investment company compliance program.

<http://jobs.prudential.com/job-description.php?jobReqNo=PGI00065>

Director, Compliance – CUS0008S
Compliance
Newark, NJ

Prudential's Corporate Compliance organization is seeking an experienced financial services leader to fill a Director, Compliance Officer (CO) role for a registered investment adviser. The CO will run the functional compliance program, which will involve: consultative discussions with business partners, oversight of the execution of a robust compliance testing program, regulatory filings, regulatory change management, and frequent strategic and tactical check-ins with the Chief Compliance Officer (CCO), among other compliance responsibilities. This role requires a cross-functional customer centric view into Prudential's business units. The right person will have broad knowledge in Investment Adviser and Broker Dealer regulatory frameworks and business operations, and a good understanding of ways to leverage technology to optimize compliance related controls and activities. An important part of the role will be to work with the CCO to generate ideas to enhance the compliance program, eliminate duplications and inefficiencies and increase the effectiveness of the program to ensure that the highest risks are properly identified and mitigated.

<http://jobs.prudential.com/job-description.php?jobReqNo=CUS0008S>

Securities Trade Monitoring, Associate Director – COM00076
Compliance
Newark, NJ

Reporting to the Corporate Compliance Director, the Securities Monitoring Director, will support leadership in taking the Securities Monitoring Unit to the next level and enhance and build a strategy that aligns and shares information across compliance and business units. You will help to identify new technology(s) to mitigate the overall risk in this space. You will deal with highly sensitive information for employees throughout the Company, including the most senior Officers and the Board of Directors. The ideal candidate should have prior leadership experience, a superior level of knowledge and experience with the regulatory and compliance issues surrounding personal and portfolio trading activity, and system implementation and project management experience.

<http://jobs.prudential.com/job-description.php?jobReqNo=COM00076>

Compliance Manager – Prudential Advisors – PRU000RA
Compliance
Newark, NJ

The Manager will provide day-to-day compliance advice and monitoring of the Prudential Advisors services centers. Including the department that conducts middle office functions for brokerage accounts maintained at the firm's designated clearing firm and departments that provide operational support for insurance distribution. The successful candidate will also act as the department's the subject matter expert (SME) for regulations that impact Broker-Dealer (BD) operations such as books & records, statements and confirmations. The role is based in Prudential Advisor's primary home office location (Newark NJ) with weekly presence in Woodbridge NJ, as well as needed trips to other Prudential locations for meetings with key business and compliance partners.

<http://jobs.prudential.com/job-description.php?jobReqNo=PRU000RA>