Prudential Job Openings dated 9-13-18

<u>LAW</u>

VP, Corporate Counsel – PGI00063 Legal Newark, NJ

Reporting to the Vice President and Chief Legal Officer of U.S. Businesses, the Chief Legal Officer of PGIM leads a high performing team of more 120 associates (including more than 80 attorneys) located in offices around the world, including locations in the United States, Mexico, United Kingdom, Germany, Luxemburg, Japan and Singapore. Working closely with PGIM's senior management, s/he will be a key member of the PGIM's leadership team. As such, we are looking for both a dynamic people leader, an experienced business partner and a lawyer with strong familiarity with the asset management business. Furthermore, this role has significant growth potential. We are hiring not just for this immediate position, but focusing on potential future leaders for the Prudential Law Department. The Chief Legal Officer of PGIM is also a leader within Prudential's Law, Compliance, Business Ethics and External Affairs (LCBE) organization. S/he will actively participate in a wide range of Law and LCBE leadership meetings and will have the opportunity to provide meaningful input on the development and implementation of broader Law department strategy and priorities.

http://jobs.prudential.com/job-description.php?jobReqNo=PGI00063

VP, Corporate Counsel (ERISA/Benefits Law) – BEN0000J Legal Newark, NJ

We are seeking an attorney in the ERISA/Benefits Law Department to provide legal advice to Prudential's retail businesses on a broad range of Employee Retirement Income Security Act ("ERISA") and Internal Revenue Code (the "Code") issues. The responsibilities for this position include but are not limited to: representing the Company's retail business units on tax and ERISA issues resulting from the marketing and sale of insurance and annuity products to pension and IRA customers; providing tax and ERISA advice on retail product development issues for annuities, insurance, advisory accounts and on-line financial wellness services; and providing design and legal advice regarding retail broker-dealer sales force compensation issues.

http://jobs.prudential.com/job-description.php?jobReqNo=BEN0000J

The ideal candidate will have a minimum of four years of experience in the corporate finance area, preferably with a major law firm or major financial institution. Relevant corporate finance experience including representation of issuers or underwriters in connection with public offerings of debt and/or equity securities or representation of purchasers of private offerings of debt and equity securities; representation of developers or lenders in power project financings; representation of lenders in oil and gas financings; and representation of owners or lenders in leveraged lease transactions.

http://jobs.prudential.com/job-description.php?jobReqNo=PRI0006M

VP, Corporate Counsel – DIS000B2 Legal Newark, NJ

Prudential is looking for a dynamic attorney to fill a high-profile position in located in Newark, New Jersey. The VP Corporate Counsel will be responsible for handling a full range of legal matters including representation of Pruco Securities, LLC, a retail broker/dealer and investment adviser. The ideal candidate will provide counsel on these matters. The successful candidate will interact with members of senior management. Pruco offers insurance, advisory and other financial products to its customers and the ideal candidate will have experience working with these products. Additionally, the ideal candidate will have twelve to fifteen years of complex corporate, law firm and/or regulatory legal experience involving the laws related to the sale and distribution of securities, insurance, managed money and other advisory programs and services

http://jobs.prudential.com/job-description.php?jobReqNo=DIS000B2

VP, Corporate Counsel – DIS000B7 Legal Newark, NJ/Hartford, CT

Prudential is looking for an experienced attorney with retail broker-dealer, securities and insurance experience. This attorney will work on a wide range of matters including legal issues impacting investment management, retirement, group insurance, annuities and life insurance distribution and regulatory issues. This position reports to the Chief Legal Officer of Prudential Investment Management LLC, and Prudential Annuity Distributors, Inc., each a registered FINRA broker-dealer, and ILI Distribution. Among this attorney's responsibilities will be the provision of counsel to management across multiple businesses. The position will be based in Newark, NJ or Hartford, Ct.

http://jobs.prudential.com/job-description.php?jobReqNo=DIS000B7

VP, Corporate Counsel – PGI0006M Legal Newark, NJ

The Prudential Insurance Company of America ("Prudential") seeks a private fund and transactional attorney to represent Prudential's Chief Investment Office in connection with its \$470 billion portfolio, including over \$9 billion of alternative asset investments and Impact Investments. The successful candidate must be able to work independently on complex transactions and will primarily support Prudential's Alternative Assets Group ("AAG") in all phases of "buy side" private equity, real estate and hedge fund investment, as well as Prudential's Impact Investments.

http://jobs.prudential.com/job-description.php?jobReqNo=PGI0006M

VP, Corporate Counsel – US 0002W Legal Newark, NJ

We are seeking a talented, business savvy and experienced lawyer to join Prudential's Law Department. This position will provide counsel and advice to Prudential's U.S. businesses in the development of new business opportunities and engagement models which will include student loan assistance ("SLA"), savings, lending, debt services, and credit counseling solutions. You will work closely and collaboratively with U.S. Business and Corporate legal teams, and will need to partner with them to provide focused, technically sound and pragmatic legal support in connection with highly visible and strategically important work for Prudential. You need to have significant law firm or in-house legal experience with the rules and regulations governing SLA, debt services, credit counseling, savings, and lending and a strong understanding of the related regulatory landscapes, including the Consumer Financial Protection Bureau. Additionally, you need to have a curiosity and desire to learn about technologies or legal areas that you aren't familiar with and to understand the mission, goals, and objectives of Prudential's various businesses.

We are seeking a talented, business savvy and experienced lawyer to join Prudential's Law Department. This position will provide counsel and advice to Prudential's Customer Office and the businesses of Prudential in its expanding global digital efforts. The attorney will work in the following areas: data analytics, social media, mobile app, mobile device, e-delivery, Internet, cloud, and digital media as they apply to a global financial services company. You will also have an opportunity to provide legal support in connection with strategic cross-business initiatives. This attorney will also be involved in the review of digital media and mobile platform terms and conditions, and social media and mobile platform terms and conditions. The successful candidate will demonstrate a willingness to take the initiative to identify and address current and new legal and regulatory issues, communicate legal decisions and facilitate and make continuous legal review process improvements in a very dynamic and fast paced environment. Strong collaboration with peers in the Law Department, Compliance, Risk Management, and our business partners will be critical to the success of this role.

http://jobs.prudential.com/job-description.php?jobReqNo=US 0002X

Director, Corporate Counsel – EMP0000N Legal Newark, NJ

Primary responsibility is to investigate complaints of alleged violations of employment and labor laws (including but not limited to Title VII, ADA, FMLA, ADEA, FLSA, NLRA and state and local laws), and complaints of retaliation for raising legal claims or identifying potential violations of law. Investigative duties include interviewing employees, reviewing relevant documents, analyzing employee data, and preparing oral and written reports as requested by Employment Law counsel. Some travel is required. Reports to the Vice President, Employment Law and Investigations.

http://jobs.prudential.com/job-description.php?jobReqNo=EMP0000N

Director, Corporate Counsel – Retirement – RET000EQ Legal Hartford, CT/Woodbridge, NJ

The Prudential Retirement Legal Team is part of the US Business Law Group of Prudential's Law Department. We are seeking a highly talented, business savvy and experienced lawyer to join the legal team that supports the Retirement Business, including the Full Service Solutions/Recordkeeping group. This position may be located in Hartford, Connecticut or Woodbridge, New Jersey. Prudential's Retirement Business provides investment products and services to defined contribution, defined benefit, and non-qualified plans through two principal areas: The Full Service Solutions group which manufactures market value investment products and provides recordkeeping services for Defined Contribution, Defined Benefit and Non-Qualified Plans; and Investment & Pension Solutions group which provides US pension and international longevity risk transfer solutions to domestic and global Defined Benefit plans, and manufactures stable value and other innovative products for Defined Contribution and other plans and institutions. Approximately five legal professionals provide dedicated support to the Recordkeeping group, which is also supported by other members of the Retirement Law team. Team members are responsible for the delivery of focused, technically sound and pragmatic legal support in connection with Prudential's dynamic and highly visible recordkeeping business.

http://jobs.prudential.com/job-description.php?jobReqNo=RET000EQ

Manager, High Tech Investigations – HIG0000L Legal Newark, NJ

We are a Fortune 50 financial services leader with a dynamic and diverse workforce and a strong emphasis on talent management. Our High Tech Investigations Unit is a global unit responsible for cyber investigations in the U.S., Asia, Europe and South America. This is an exciting time to join a growing team. The High Tech Investigations Unit is seeking an experienced individual for the position of Manager, High Tech Investigations Unit. The Manager will report to a Director of the High Tech Investigations Unit, who reports to the Global Head of High Tech Investigations, and is part of the law department. In this capacity, the Manager is primarily responsible for the analysis, escalations, and triage of User Behavior Analytics alerts with ancillary investigative and forensic responsibilities where needed.

http://jobs.prudential.com/job-description.php?jobReqNo=HIG0000L

Manager, Project Management – LCB0005B Legal Newark, NJ

Prudential Financial is seeking a strong project manager for its Law, Compliance, Business Ethics & External Affairs Project Management Office. The candidate will have the ability to translate complex ideas into actionable deliverables and is directly responsible for coordination of all aspects of project management, including business requirements, project plan development, project resources, internal and external communications, prioritization and interdependencies of project or key milestones, user training, risk management, and tracking and reporting of project results to stakeholders.

http://jobs.prudential.com/job-description.php?jobReqNo=LCB0005B

COMPLIANCE

Compliance Manager, Disability – RET000EU Compliance Newark, NJ

The Compliance Manager – Disability: position will be primarily responsible for compliance functions supporting the Group Insurance Disability Products and Claim Operations. This position involves compliance oversight, monitoring, testing and reporting. Support will be primarily for claims, but will include projects, initiatives and some cross-functional duties.

Some responsibilities include: provide ongoing, engaged, consultative support and oversight for the Disability organization; field day-to-day compliance related questions from the Disability organization; monitor and inspect Disability to validate compliance with applicable Federal and State laws, as well as compliance with company standards; provide compliance metrics reporting for the Disability organization; and review of escalated compliants related to the Disability organization.

http://jobs.prudential.com/job-description.php?jobReqNo=RET000EU

Surveillance Manager – PRU000TI Compliance Newark, NJ

The Prudential Advisors (PA) Sales Practice Compliance team is looking for a Manager to assist in the development of their sales practice surveillance program. The manager will also play a key role as the team's general securities SME as Prudential Advisors expands investment products offered to its retail customer base. Success Behaviors include: capable of operating at a macro level analyzing trends and patterns, and a micro level researching transactions, communications, and documentation; self-motivated professional who will take proprietary ownership of their work, work independently and as part of a team, and can handle multiple tasks simultaneously under tight deadlines in a dynamic environment, and strong relationship management skills, including the ability to interact and influence multiple levels of business management .