



The U.S. Securities and Exchange Commission

Welcomes

The Leadership Council on Legal Diversity

November 5, 2015

Welcoming Remarks 9:30 am – 9:40 am	Pamela Gibbs, Director, Office of Minority and Women Inclusion	Closed Commission Meeting Room
SEC Overview and Enforcement Division 9:40 – 10:30 am	Andrew Ceresney, Director, Division of Enforcement Stephen Cohen, Associate Director, Division of Enforcement	Closed Commission Meeting Room
Commissioner Remarks 10:30 – 11:00 am	Commissioner Kara M. Stein	Closed Commission Meeting Room
11:00 – 11:15 am	Break	
Senior Officer Panel Discussion 11:15 – 12:00 pm	Alberto Arevalo, Associate Director, Office of International Affairs Eun Ah Choi, Assoc. Director, Division of Investment Mgmt. Richard Humes, Associate General Counsel	Closed Commission Meeting Room
12:00 – 1:00 pm	Lunch and Networking	Ceremonial Room (Room 10000)
Emerging Issues at the SEC Panel Discussion 1:00 – 1:45 pm	Paul Gumagay, Counsel to Commissioner Luis A. Aguilar Vincente Martinez, Chief, Enforcement, Office of Market Intelligence Julie Riewe, Co-Chief, Enforcement, Asset Management Unit	Ceremonial Room (Room 10000)
Office of the Investor Advocate 1:45 – 2:15 pm	Rick Fleming, Investor Advocate	Ceremonial Room (Room 10000)
2:15 – 2:25 pm	Break	
Division of Corporation Finance 2:25 – 2:55 pm	Keith Higgins, Director Shelley Parratt, Deputy Director Office of Disclosure	Ceremonial Room (Room 10000)
Staff Attorney Panel Discussion 3:00 – 3:45 pm	Leila Bham, Senior Special Counsel, Office of the General Counsel Jeremiah Williams, Senior Counsel, Division of Enforcement Mia Zur, Special Counsel, Division of Trading and Markets	Ceremonial Room (Room 10000)
Closing Remarks	Laura Stomski, Deputy Director, Office of Minority and Women Inclusion	Ceremonial Room (Room 10000)