

LCLD Fellows Program Leadership Lunch: *MassMutual and Prudential*

Wednesday, October 22, 2014, 11:30 am EDT
Hosted by MassMutual Financial Group and Prudential Financial, Inc. at
Bobby Van's Steakhouse, New York, NY

Speakers:

Susan Blount, Executive Vice President and General Counsel of Prudential Financial, Inc., and LCLD Board Member
Mark Roellig, Executive Vice President and General Counsel of MassMutual Financial Group, and LCLD Board Member
Ari Gabinet, Executive Vice President and General Counsel of OppenheimerFunds, Inc.
Rick Meade, Vice President and Chief Legal Officer of Prudential Financial, Inc.'s International Division

Agenda:

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| 11:30 am - 12:30 pm | Fellows Networking |
| 12:30 - 12:45 pm | Introductions |
| 12:45 - 2:00 pm | Discussion and Q&A |



SUSAN BLOUNT is Executive Vice President and General Counsel at Prudential Financial, Inc., responsible for the company's 700-person global Law, Compliance, Business Ethics, and External Affairs departments. She is also a member of Prudential's Office of the Chairman group and Senior Management Committee.

Blount assumed the role of general counsel in 2005 and was promoted to executive vice president in June 2013. She joined Prudential in 1985. Prior to being named General Counsel, she held a variety of leadership positions, including chief counsel for the Investment Division and vice president and secretary when the company demutualized in 2001.

Blount is active in the community and the legal profession. She serves on the board of Montclair State University, the second largest university in New Jersey. She also serves on the board of trustees of the University of Texas Law School Foundation, the Leadership Council on Legal Diversity, and the Association of Corporate Counsel.

Under Blount's leadership, Prudential's Law Department has been recognized among the "Most Innovative Law Departments" by the *Financial Times*, an "Employer of Choice" by the Minority

Corporate Counsel Association, a “Legal Department of the Year” by the *New Jersey Law Journal*, and the “Corporate Law Department of the Year” by the New Jersey Hispanic Bar Association. It also received special recognition by the New York City Bar Association’s Committee on Recruitment and Retention.

Prior to joining Prudential, Blount was an associate at the law firm of Kirkland & Ellis. She graduated from The University of Texas at Austin with a Bachelor of Arts degree in history and a Juris Doctor degree with honors.



MARK ROELLIG is Executive Vice President and General Counsel of MassMutual Financial Group (MassMutual), the parent company of a global, growth-oriented, diversified financial services organization, listed 96th in *FORTUNE*’s ranking of America’s largest corporations with more than \$639 billion in total assets under management. MassMutual is headquartered in Springfield, Massachusetts.

In his capacity as MassMutual’s General Counsel, Roellig is responsible for all the legal affairs of the company and advises management and the Board of Directors to ensure that MassMutual complies with corporate-governance requirements and safeguards the interests of MassMutual’s policyholders. He is also responsible for the Corporate Secretary, Corporate Compliance, Internal Audit, Government Relations, and the Corporate Administration and Real Estate and Facilities Departments of MassMutual.

Prior to joining MassMutual in 2005, he served as general counsel and secretary to three public companies before their sale/merger: Fisher Scientific International Inc., Storage Technology Corporation (“StorageTek”), and US WEST Inc. He received his bachelor’s degree in mathematics from the University of Michigan, his law degree from George Washington University, and his M.B.A. from the University of Washington. Roellig is currently an adjunct faculty member at the University of Colorado Law School and teaches a class called, “An Introduction to In-House Practice.” He is also a member of the Leadership Council on Legal Diversity Board of Directors.



ARI GABINET is the Executive Vice President and General Counsel of OppenheimerFunds, Inc. In his role, Gabinet oversees OppenheimerFunds’ Legal, Compliance, and Internal Audit functions, providing legal, regulatory, compliance, and control testing to all OppenheimerFunds business units.

Prior to joining OppenheimerFunds in May 2010, Gabinet was a principal in Vanguard’s legal department, where he was responsible for legal teams covering investment management; brokerage, distribution, transfer agency, and advice; litigation, shareholder services, and data security; and tax and international matters.

From 2003 through 2005, Gabinet was head of the Philadelphia office of the Securities and Exchange Commission (SEC), responsible for the SEC's enforcement and regulatory examination programs in Pennsylvania, Maryland, Delaware, Virginia, West Virginia, and the District of Columbia. Previously he was a litigation partner at Dechert, LLP, and began his career at Wilkie Farr & Gallagher.

Gabinet graduated from the University of Pennsylvania Law School and received his undergraduate degree from Swarthmore College.



RICK MEADE is Vice President and Chief Legal Officer for Prudential's International Division, responsible for leading a team of lawyers located in the U.S., Asia, Europe, and Latin America. In addition, he is a member of the senior management team in the Law, Compliance, Business Ethics & External Affairs Department (LCBE).

Prudential's International Division businesses manufacture and distribute individual life insurance, retirement, and related products to clients in selected international markets.

Meade is also responsible for oversight of Prudential's Operations and Systems legal team, whose lawyers advise on issues related to corporate real estate, intellectual property and technology, and general vendor contracts.

Meade has been the Chair of LCBE's award winning Diversity Steering Committee since 2006, as well as the Executive Sponsor of the Department's Internal and Outside Counsel Diversity Committees. He also played a key role in launching the multi-company Inclusion Initiative, which focuses on increasing corporate expenditures on minority and women owned law firms.

Meade joined Prudential's Corporate Law Department in 1985 as legal advisor to the actuarial staff of the Individual Insurance Department and the Corporate Actuary. In 1987, he became head of the Individual Insurance Section, supervising the legal support for all U.S.-based individual traditional life insurance product and marketing issues, as well as service marks, international insurance, and banking issues. Meade later served for 10 years as chief legal officer for all of Prudential's domestic individual insurance businesses. He assumed his current responsibilities in 2001.

Prior to joining Prudential, Meade was an associate with Simpson Thacher & Bartlett in New York City.

Meade has an undergraduate degree from the University of California at Davis and a law degree from the University of California at Berkeley. He is admitted to practice law in California, New York, and New Jersey.